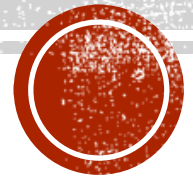




Indian Institute of Information Technology Allahabad

# Data Structures and Algorithms

## Single Source Shortest Paths (SSSP): Dijkstra Algo



**Dr. Shiv Ram Dubey**  
Assistant Professor  
Department of Information Technology  
Indian Institute of Information Technology, Allahabad

Email: [srdubey@iiita.ac.in](mailto:srdubey@iiita.ac.in)      Web: <https://profile.iiita.ac.in/srdubey/>

# DISCLAIMER

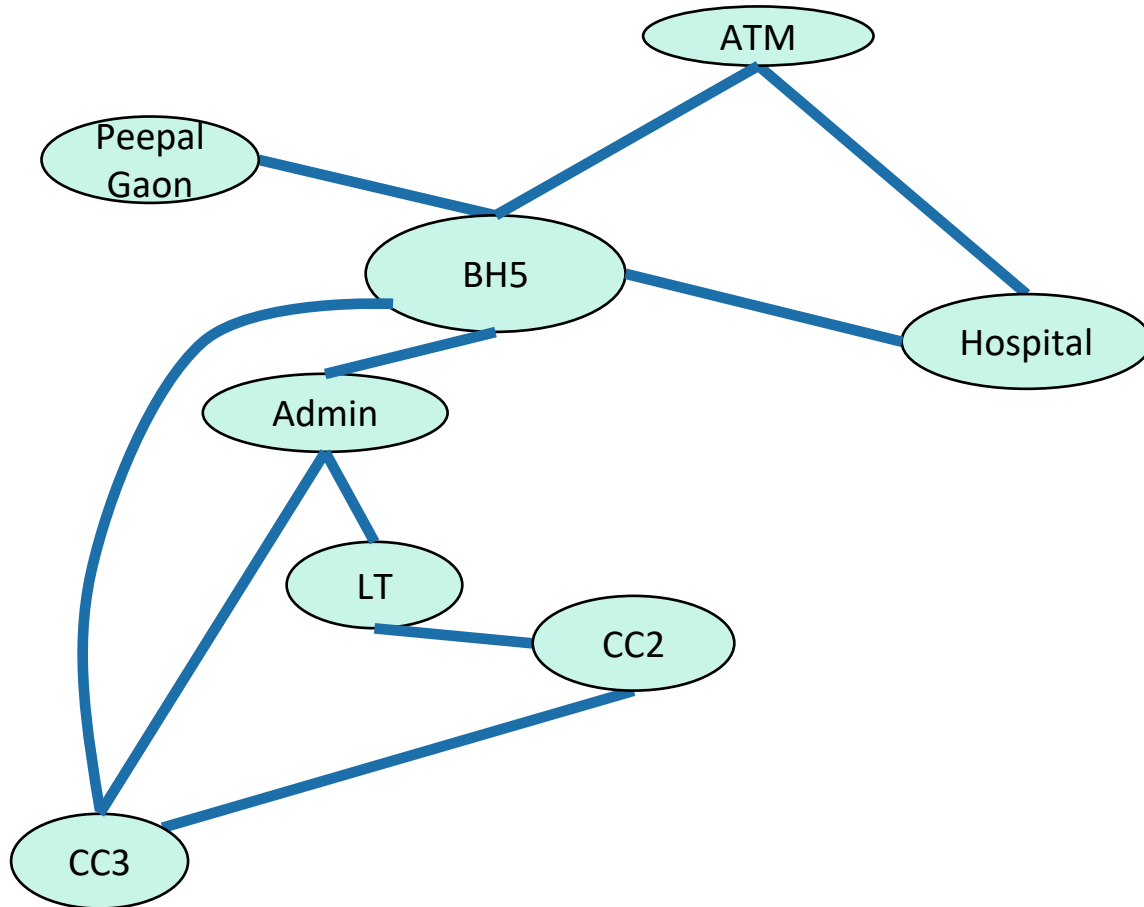
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# This Class

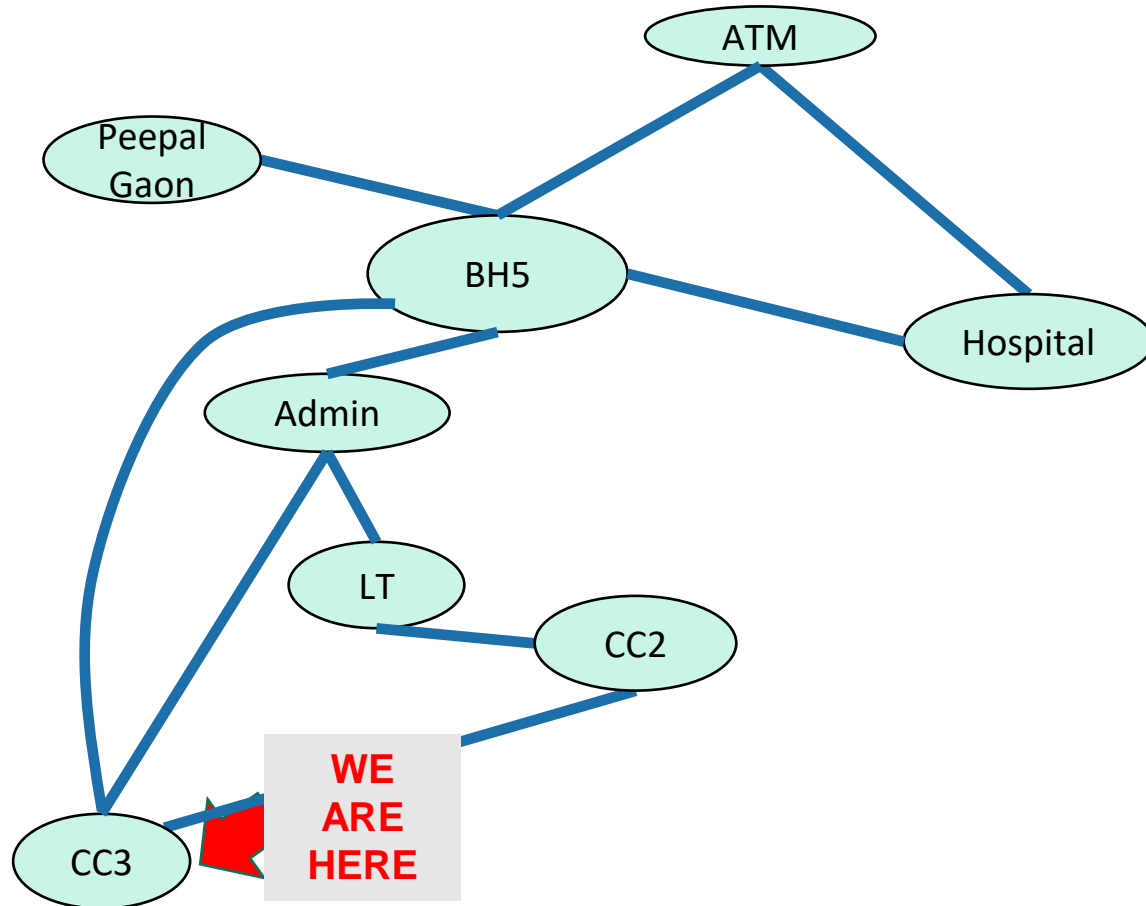
- Shortest Paths
  - BFS
  - What if the graphs are weighted?
- Single Source
  - Dijkstra!
  - Bellman-Ford!
- All Source
  - Floyd-Warshall



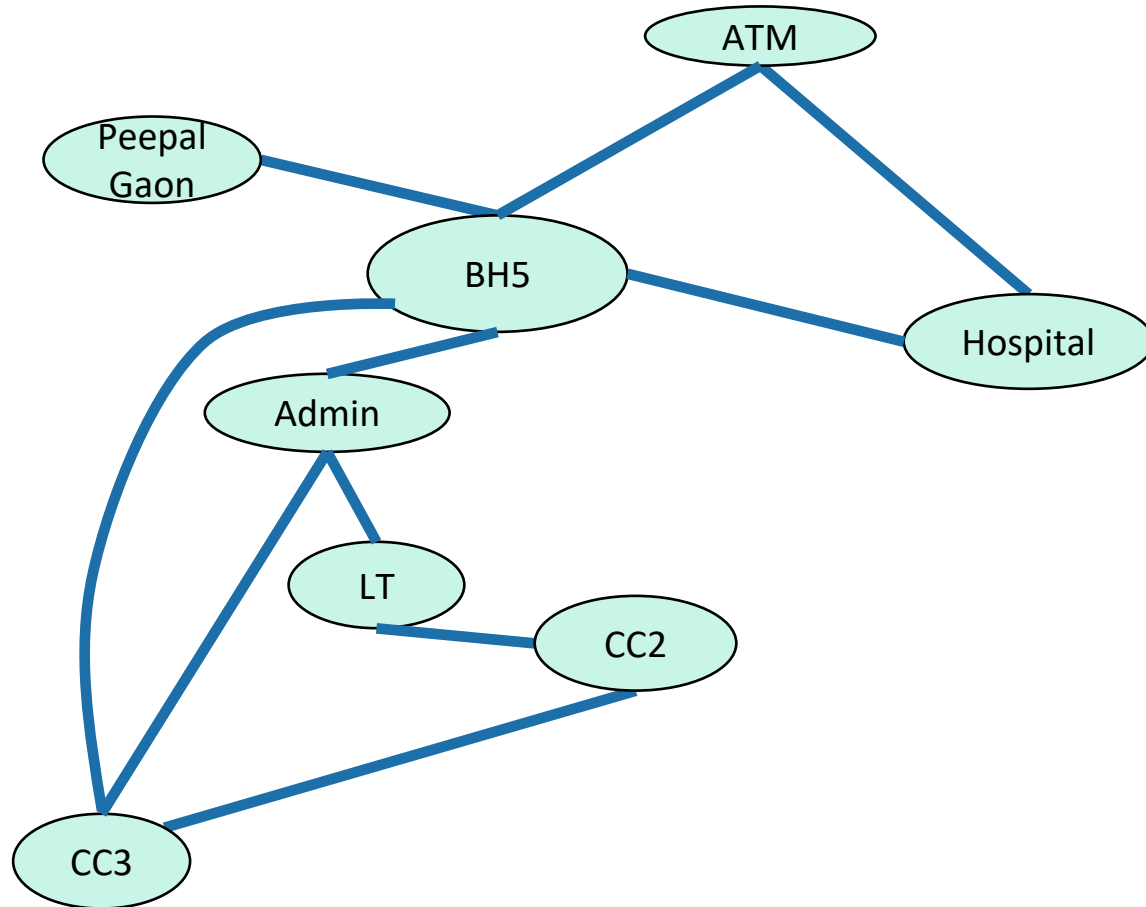
# IIITA Graph



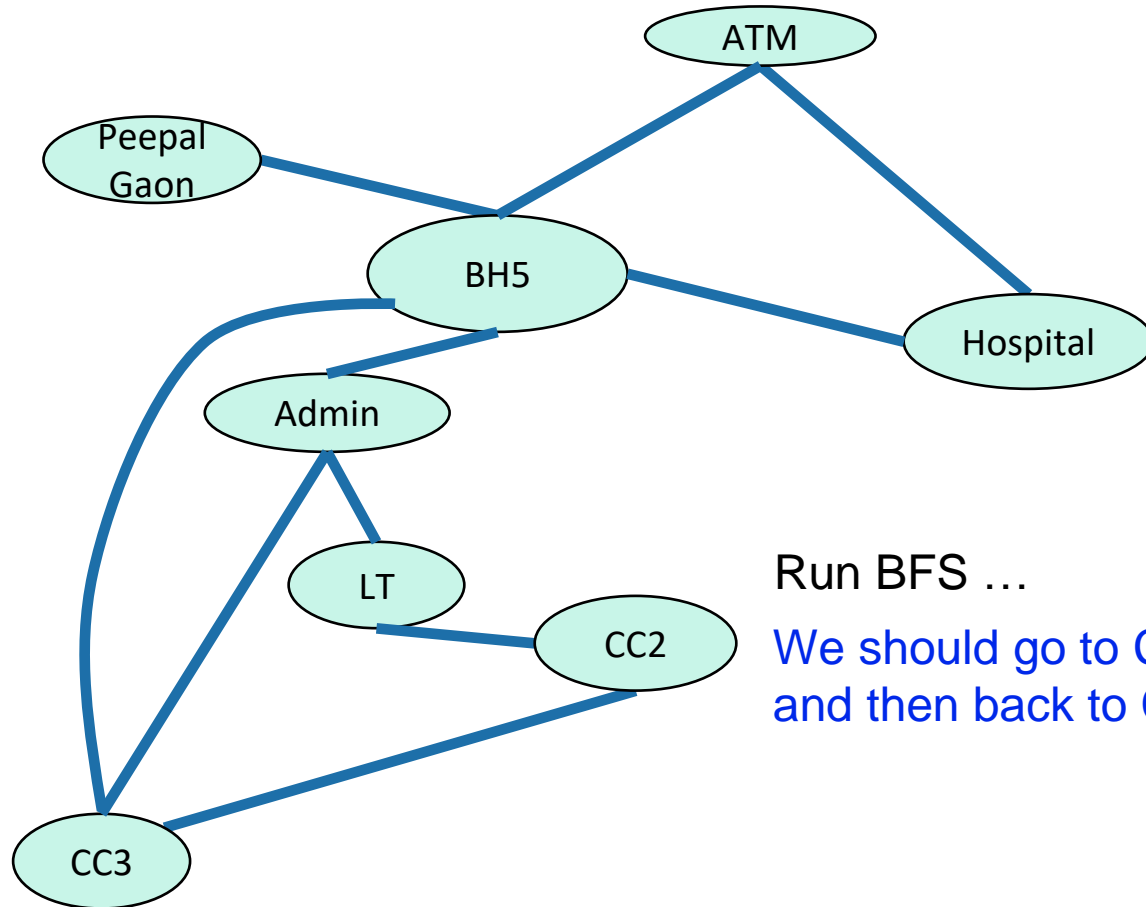
# IIITA Graph



# Shortest path from BH5 to CC2?



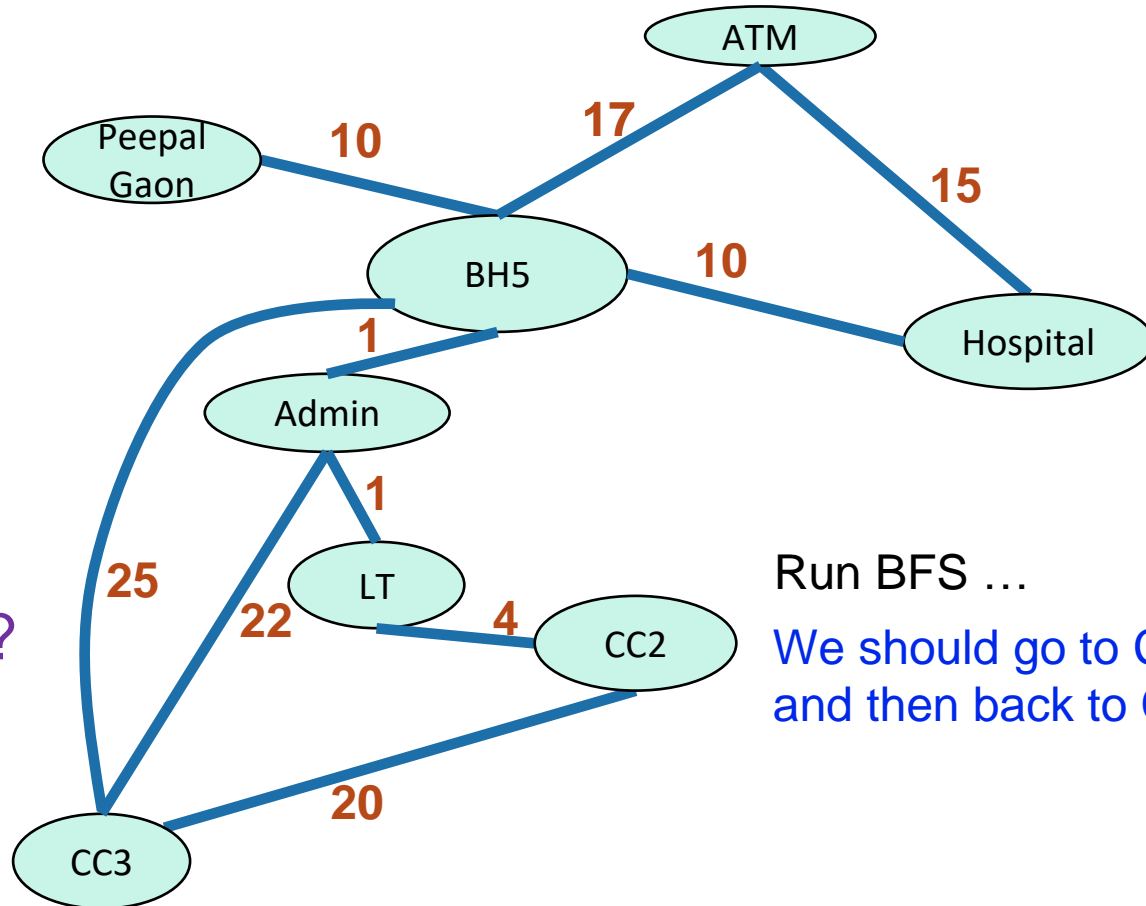
# Shortest path from BH5 to CC2?



Run BFS ...

We should go to CC3  
and then back to CC2 !!!

# Shortest path from BH5 to CC2?



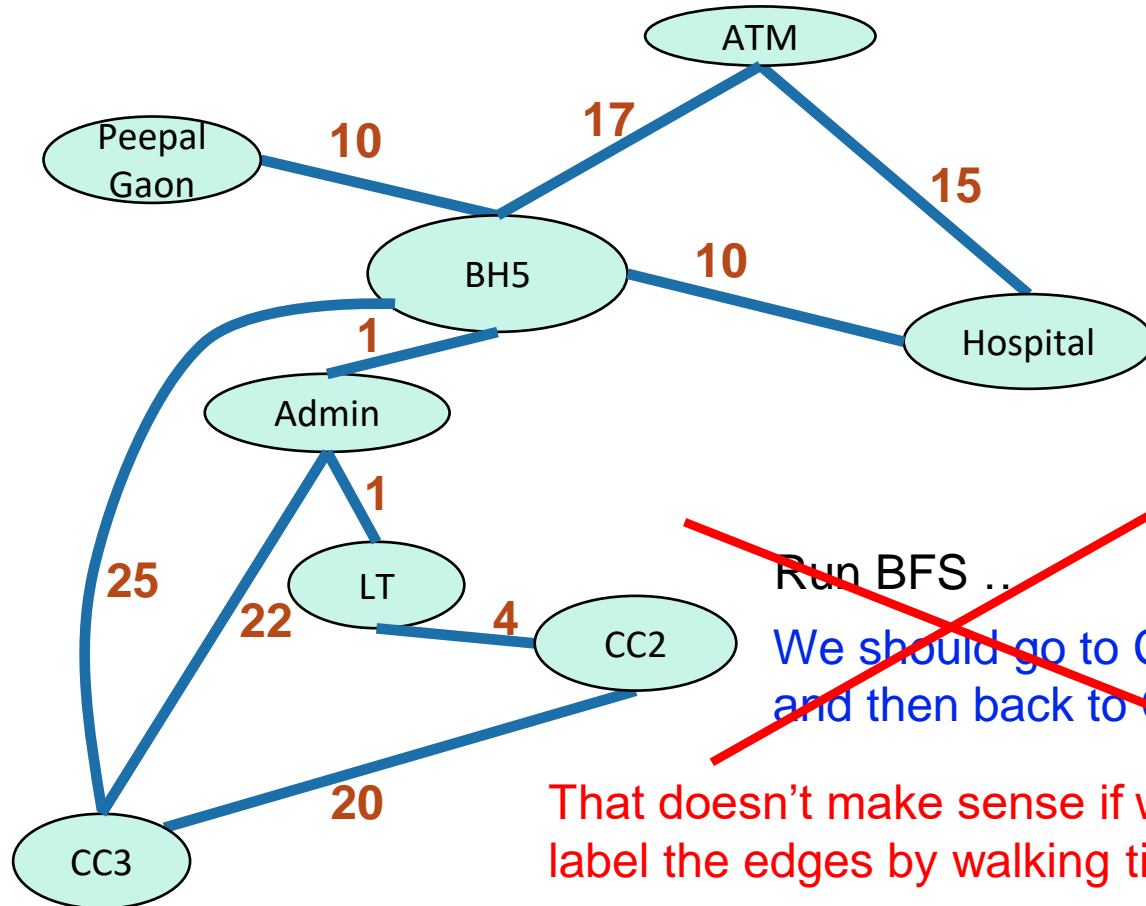
What if we label the edges by walking time ?

Run BFS ...

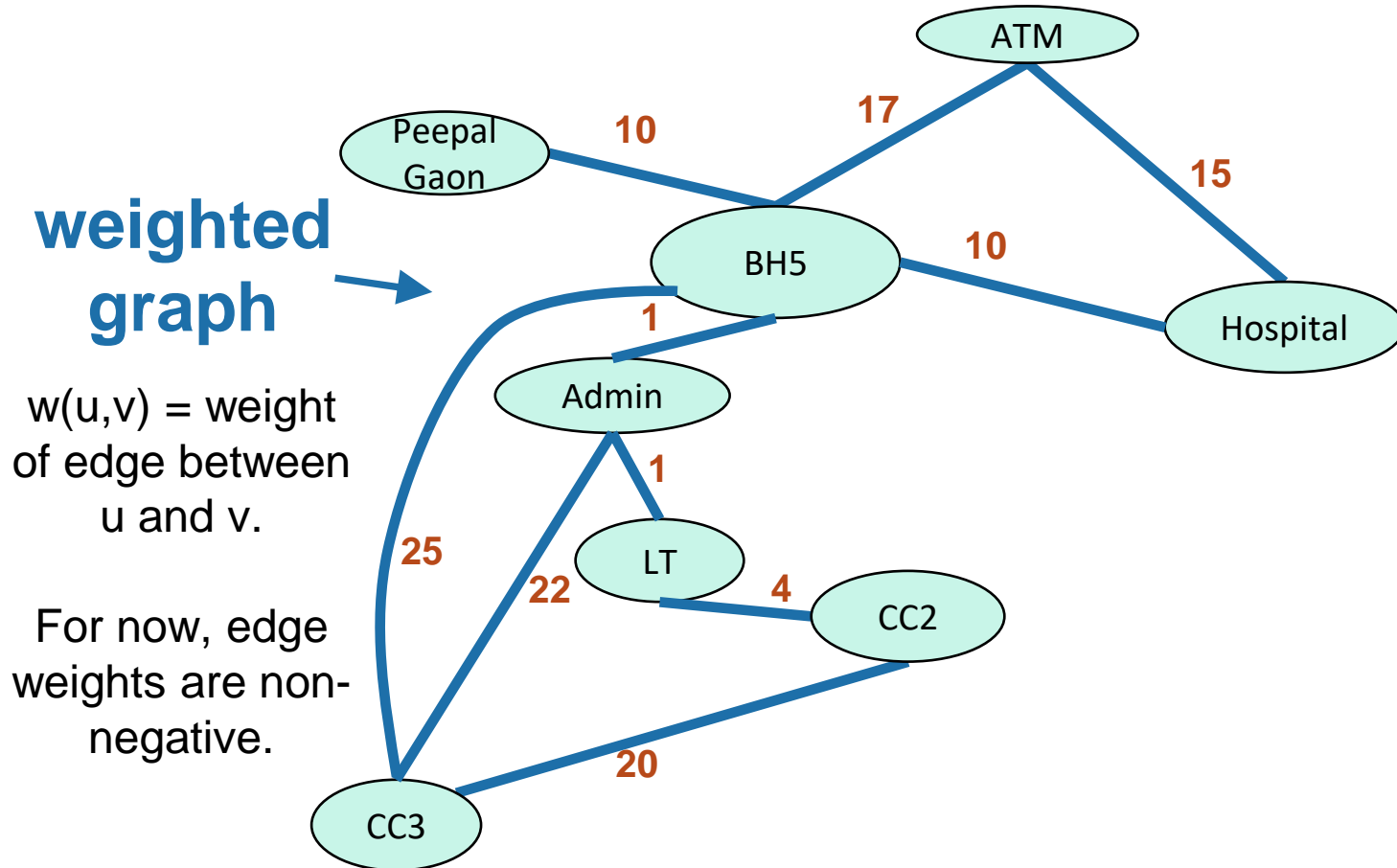
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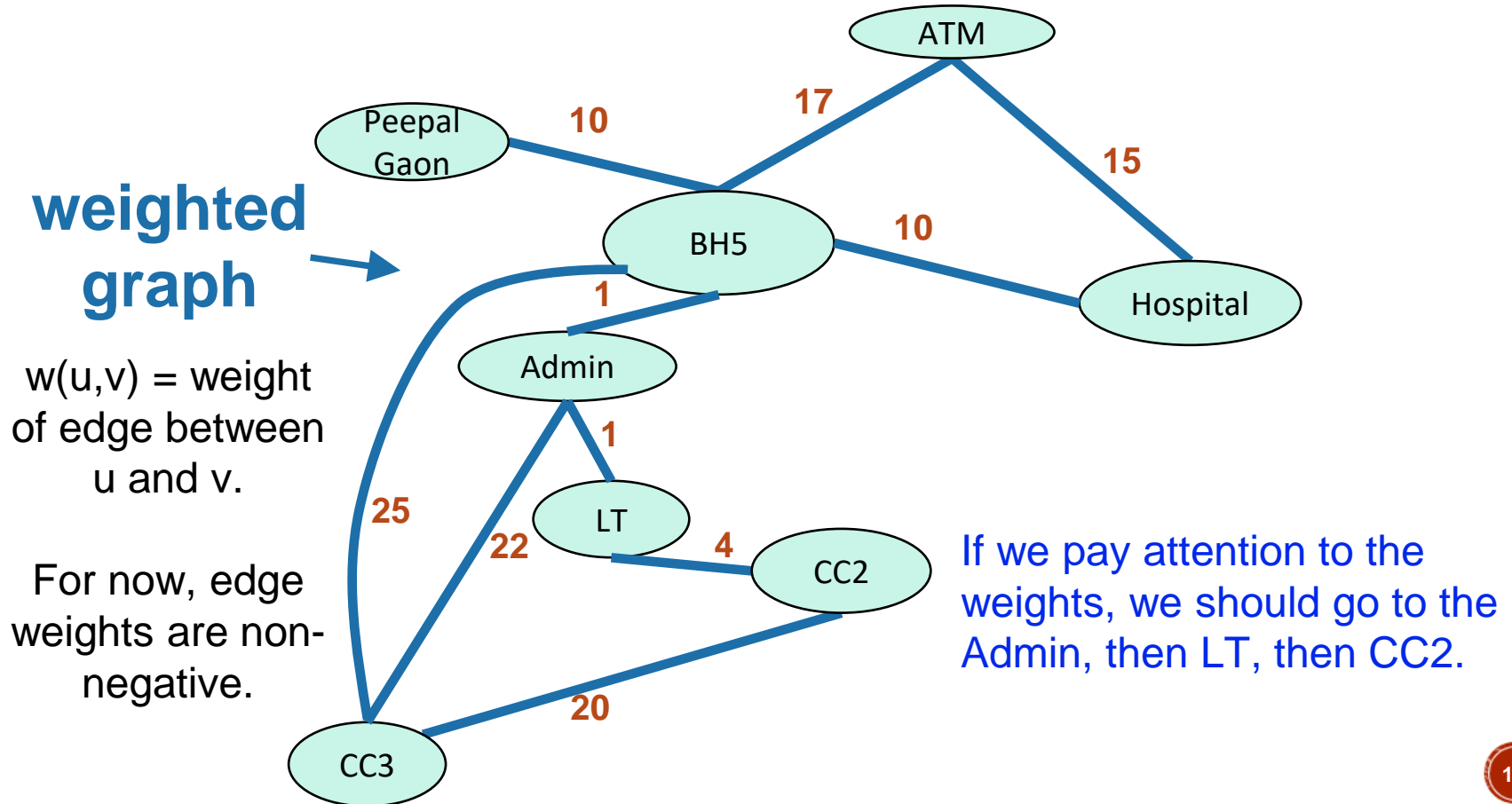
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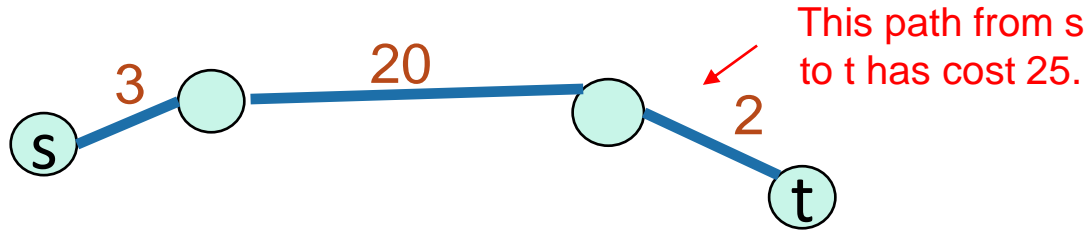


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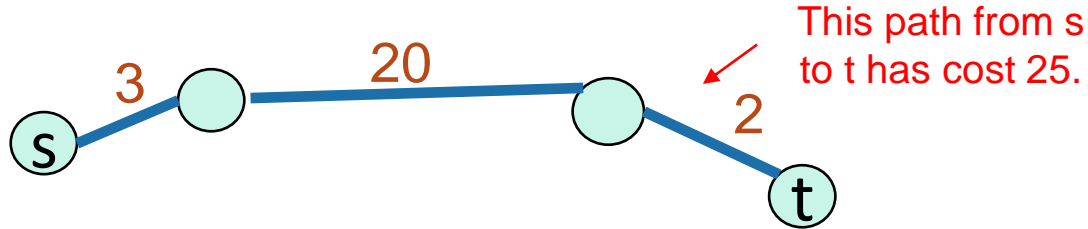
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- What is the **shortest path** between  $u$  and  $v$  in a weighted graph?
  - the **cost** of a path is the sum of the weights along that path



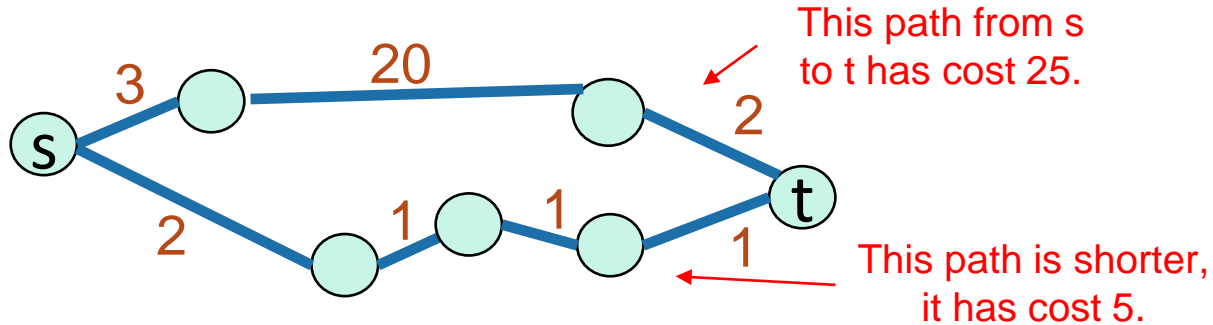
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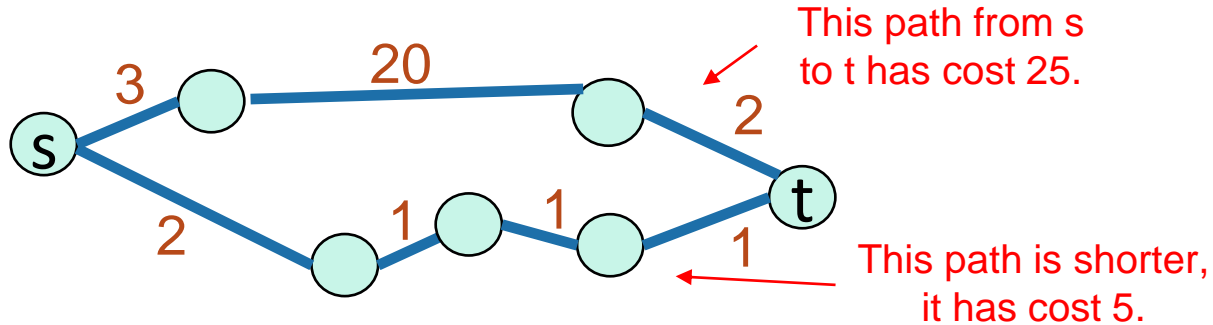
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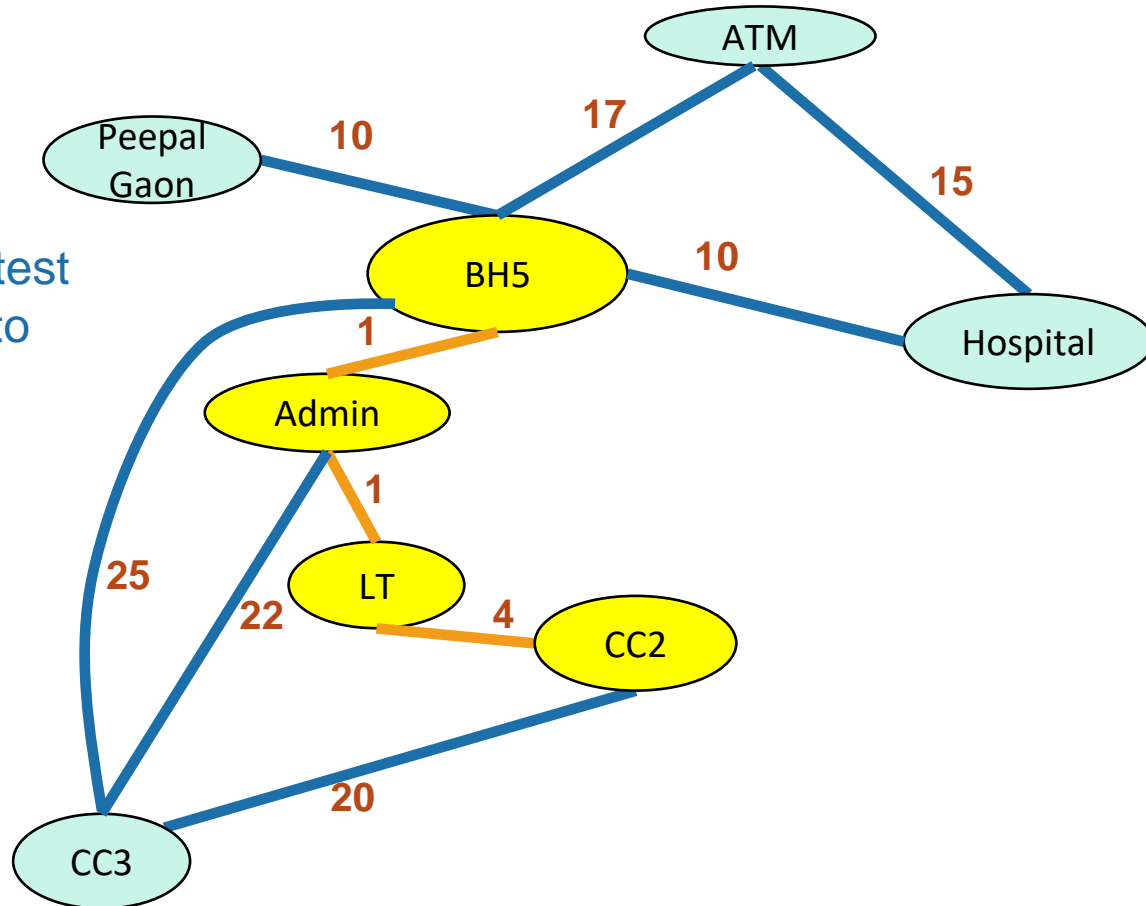
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  - the **cost** of a path is the sum of the weights along that path
  - The **shortest path** is the one with the minimum cost.



- The **distance**  $d(u,v)$  between two vertices  $u$  and  $v$  is the cost of the shortest path between  $u$  and  $v$ .

# Shortest paths

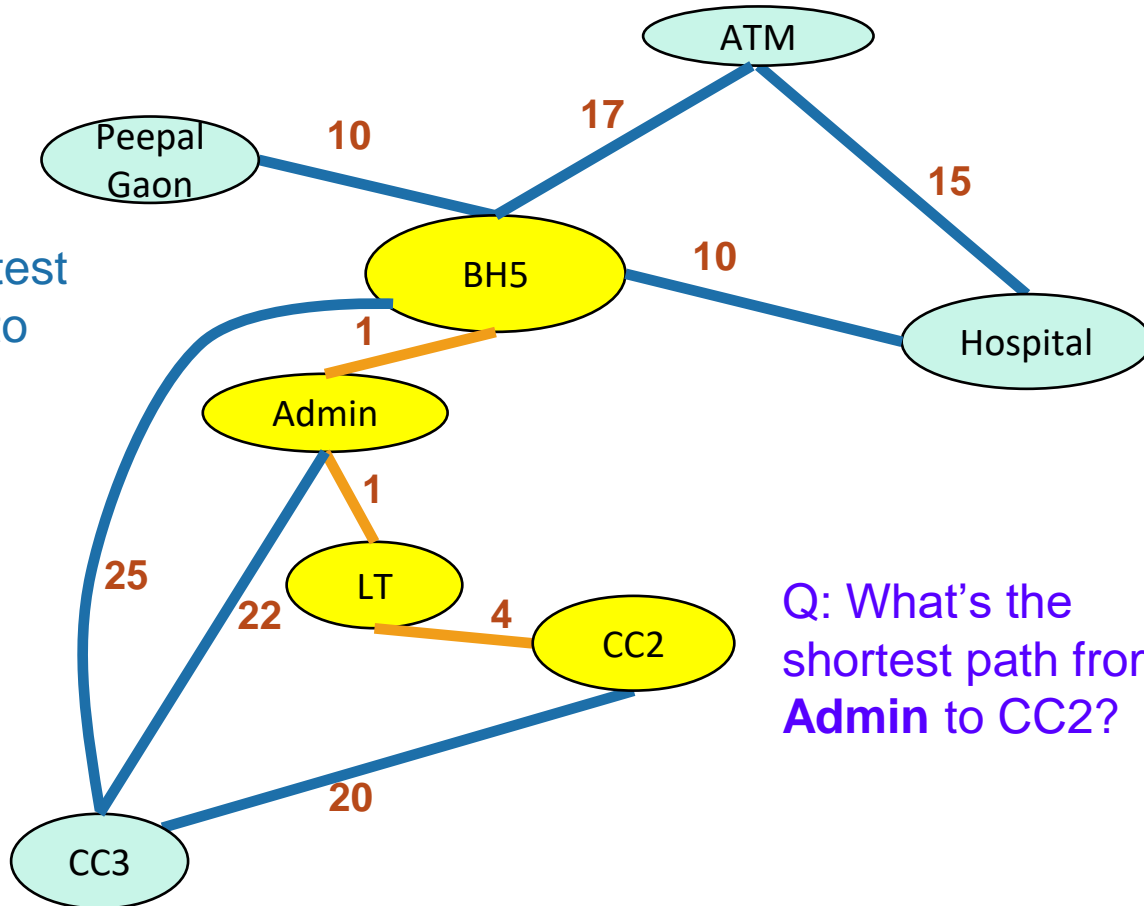


This is the shortest path from BH5 to CC2.

It has cost 6.



# Shortest paths

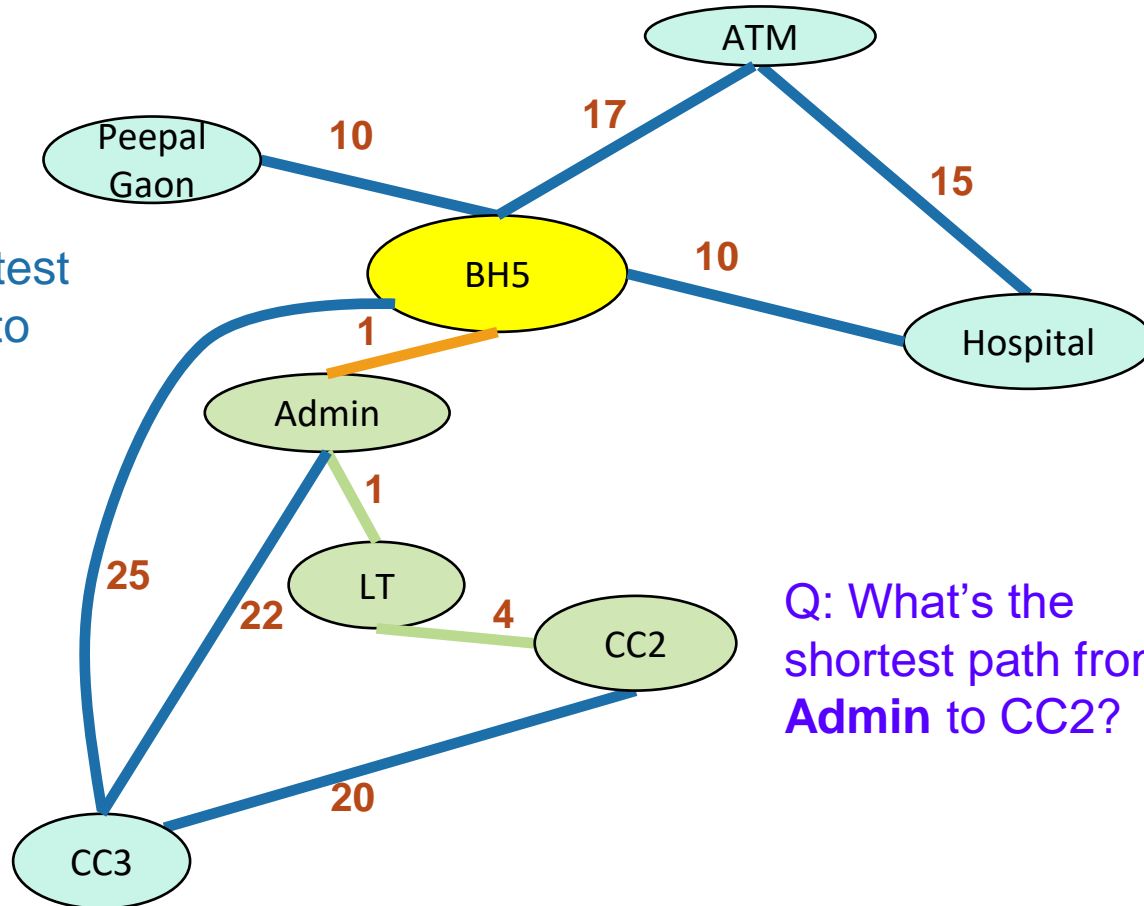


This is the shortest path from BH5 to CC2.

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Q: What's the shortest path from Admin to CC2?

# Shortest paths



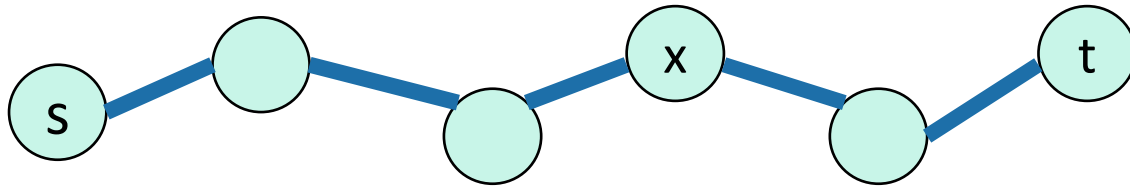
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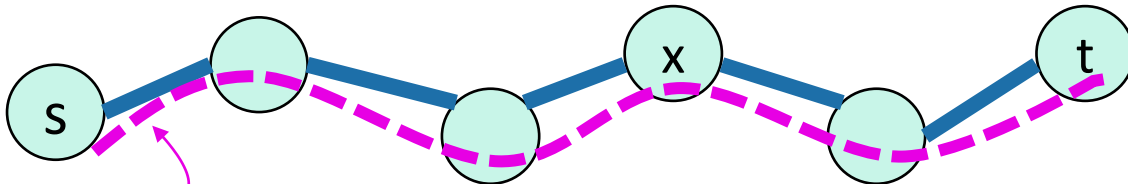
# Warm-up

- A sub-path of a shortest path is also a shortest path.



# Warm-up

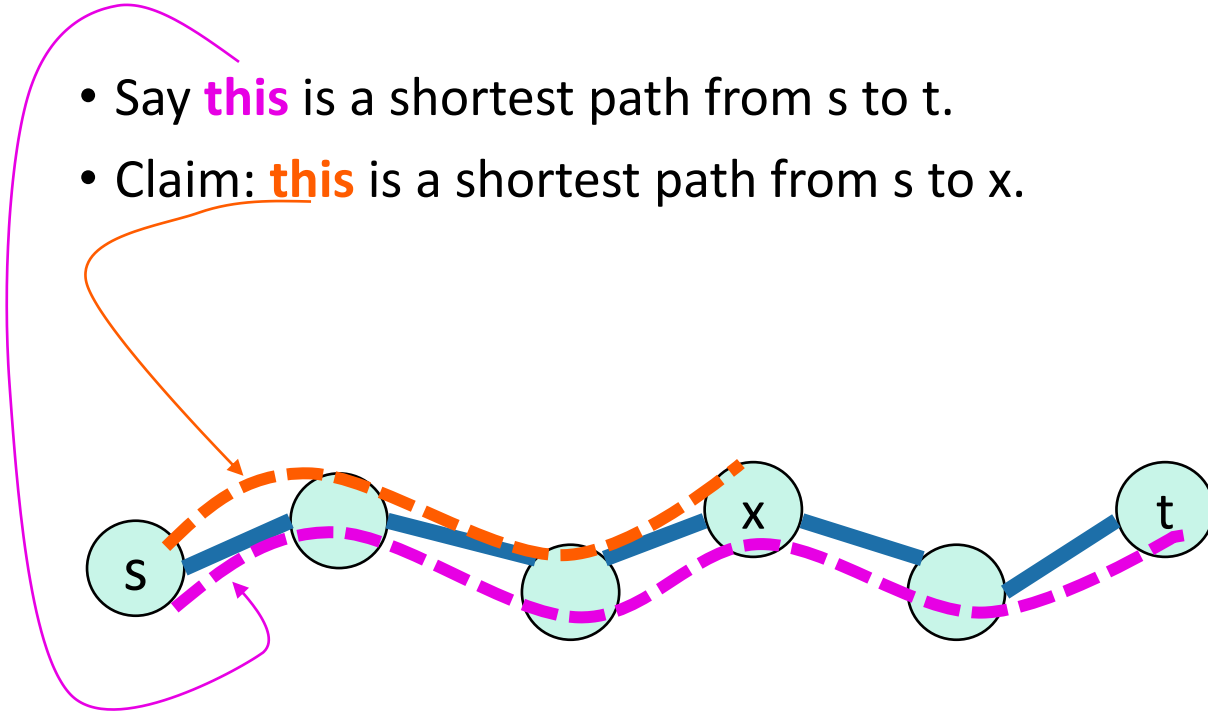
- A sub-path of a shortest path is also a shortest path.
- Say **this** is a shortest path from  $s$  to  $t$ .



# Warm-up

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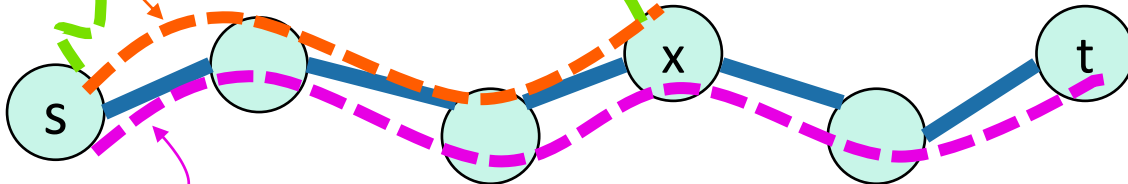
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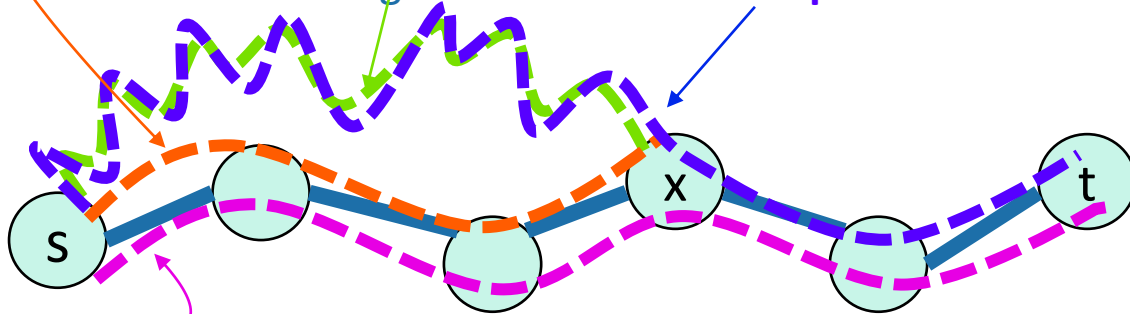
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  - But then that gives an **even shorter path** from  $s$  to  $t$ !



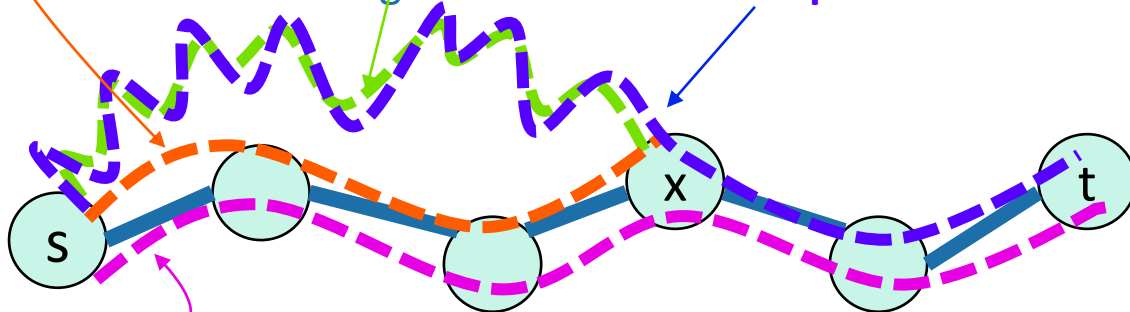
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# Single-source shortest-path problem

- I want to know the shortest path from one vertex (BH5) to all other vertices.

# Single-source shortest-path problem

- I want to know the shortest path from one vertex (BH5) to all other vertices.

Destination	Cost	To get there
Admin	1	Admin
LT	2	Admin-LT
Peepal Gaon	10	Peepal Gaon
ATM	17	ATM
CC2	6	Admin-LT-CC2
Hospital	10	Hospital
CC3	23	Admin-CC3

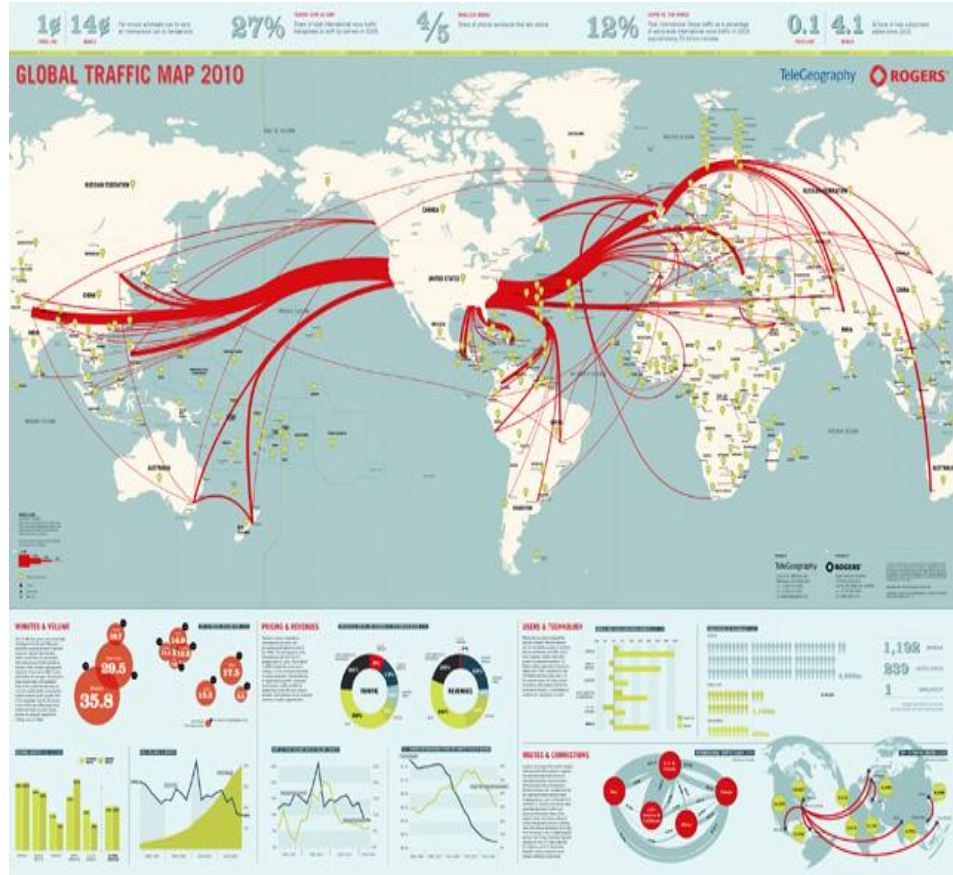
# Example

- “what is the shortest path from IITA to [anywhere else]”
- Edge weights have something to do with time, money, hassle.

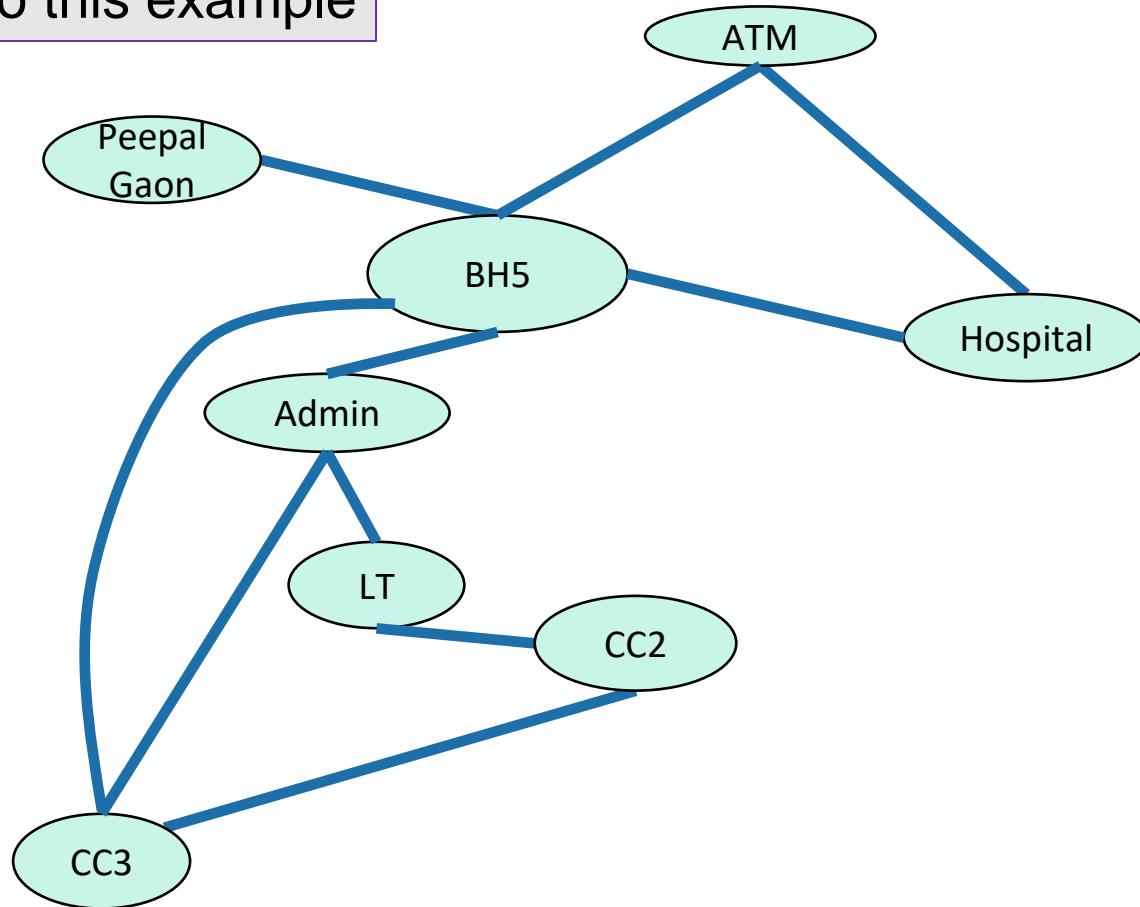


# Example

- **Network routing**
- I send information over the internet, from my computer to all over the world.
- Each path has a cost which depends on link length, traffic, other costs, etc..
- How should we send packets?

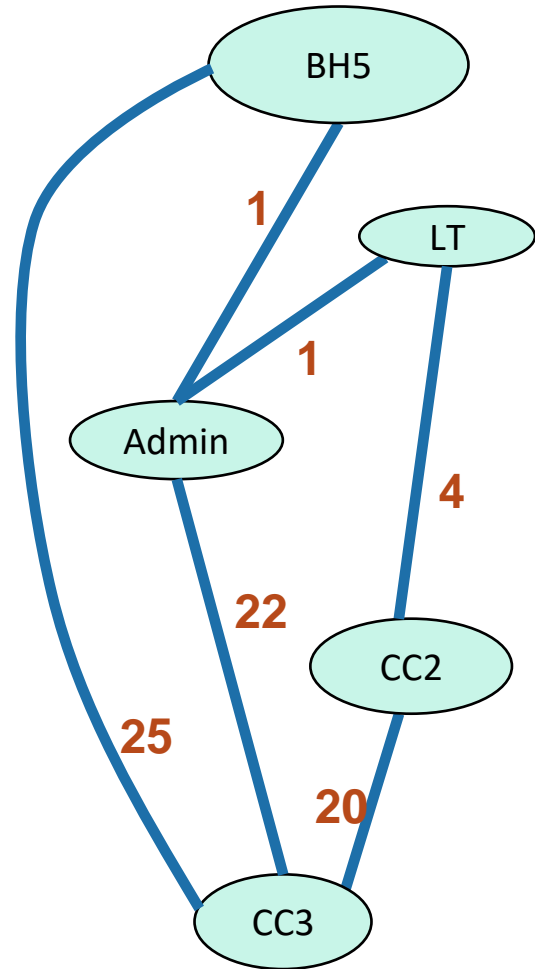


Back to this example



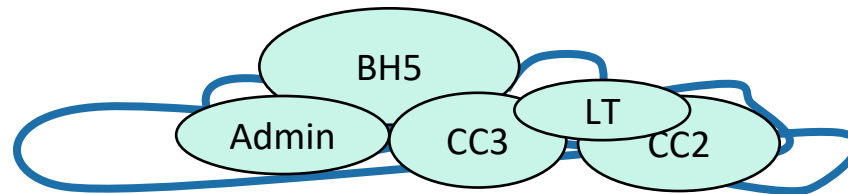
# Dijkstra's algorithm

- Finds shortest paths from **BH5** to everywhere else.

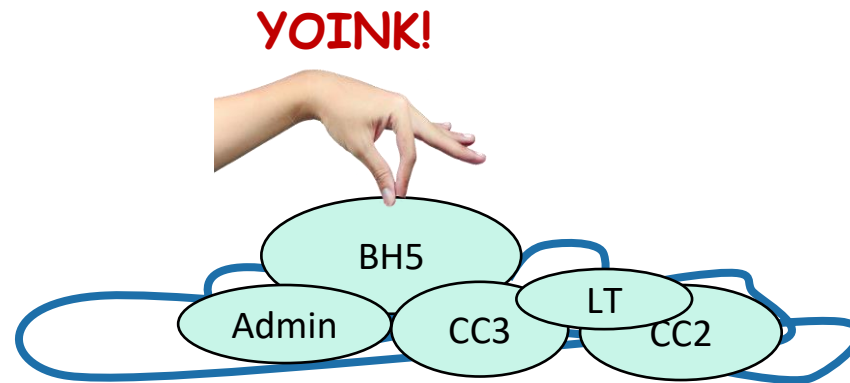


# Dijkstra intuition

All vertices are on ground initially.



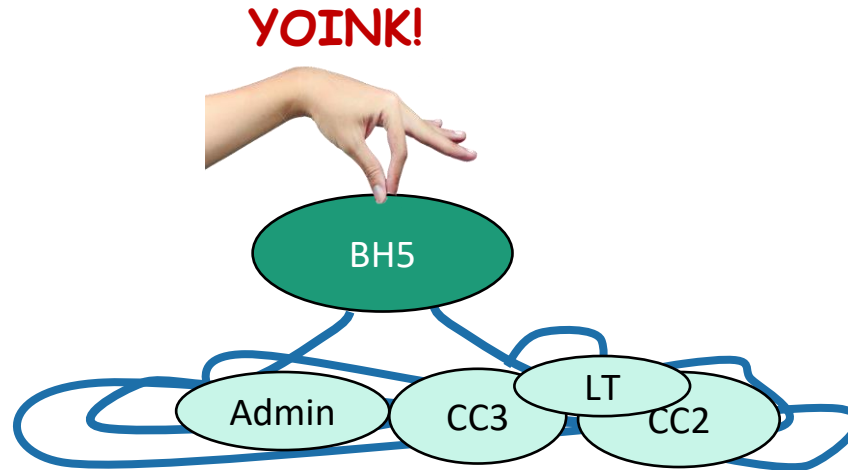
# Dijkstra intuition



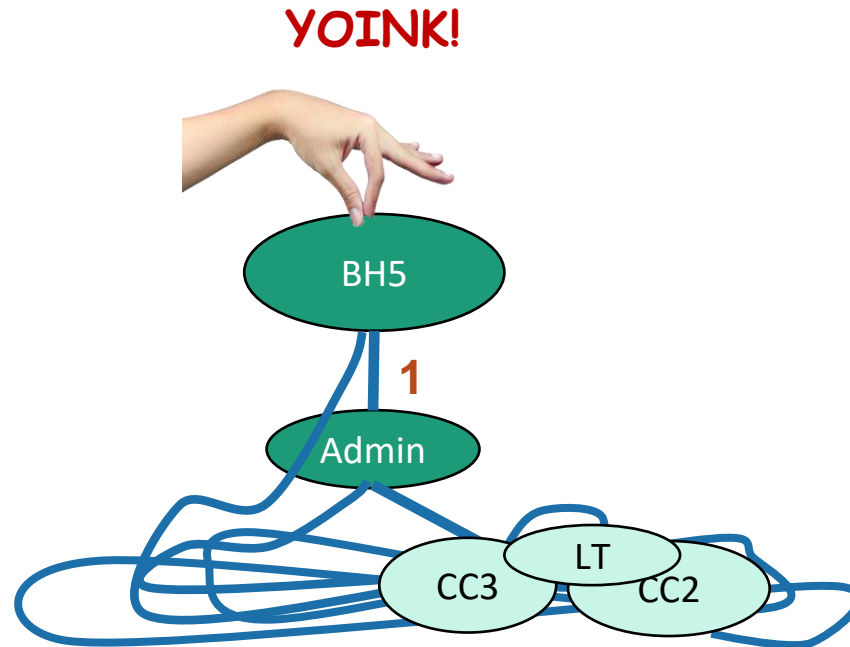


# Dijkstra intuition

A vertex is done when it's not on the ground anymore.

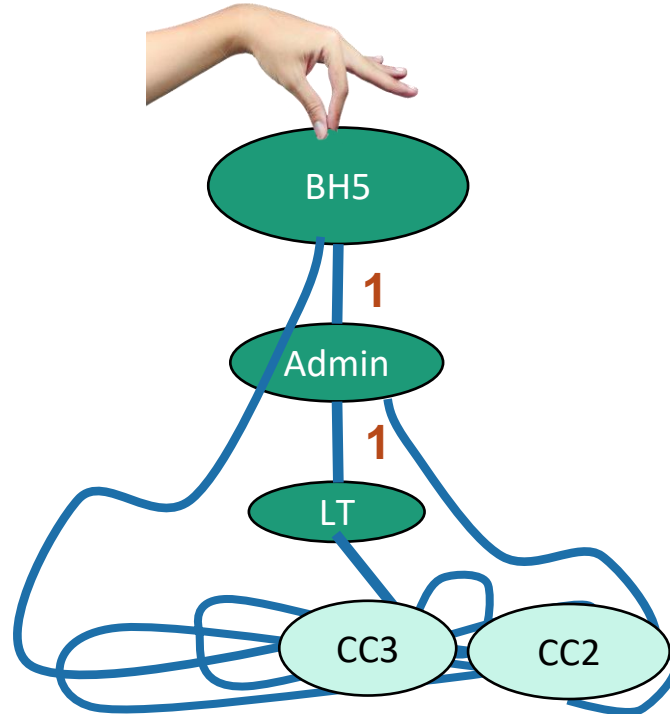


# Dijkstra intuition



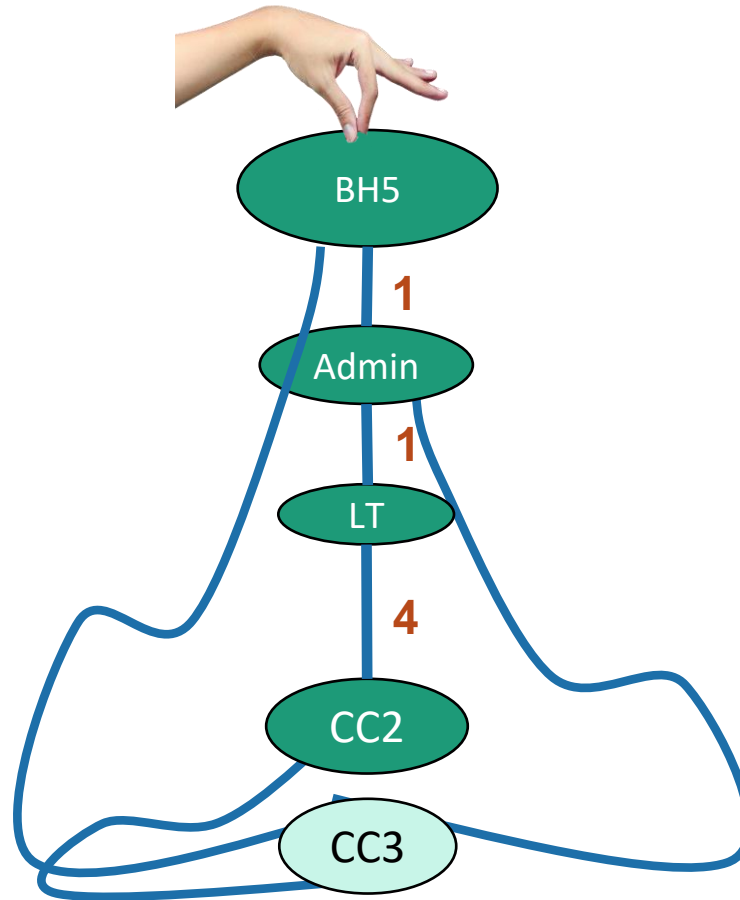
# Dijkstra intuition

**YOINK!**

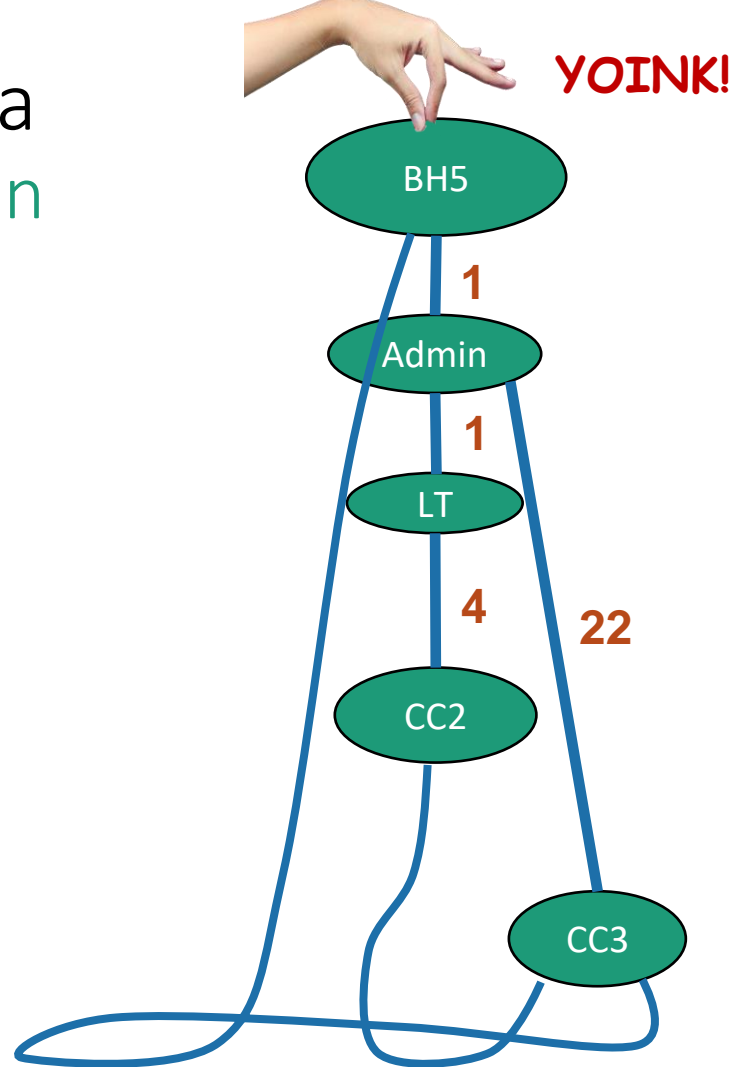


# Dijkstra intuition

YOINK!



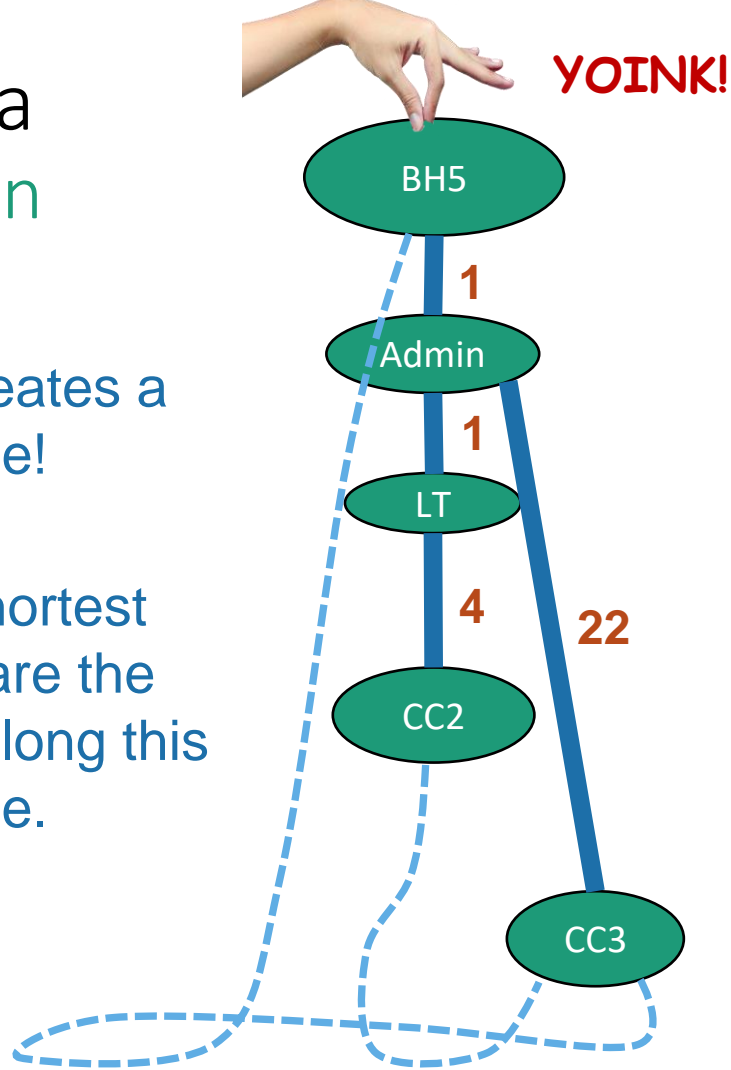
# Dijkstra intuition



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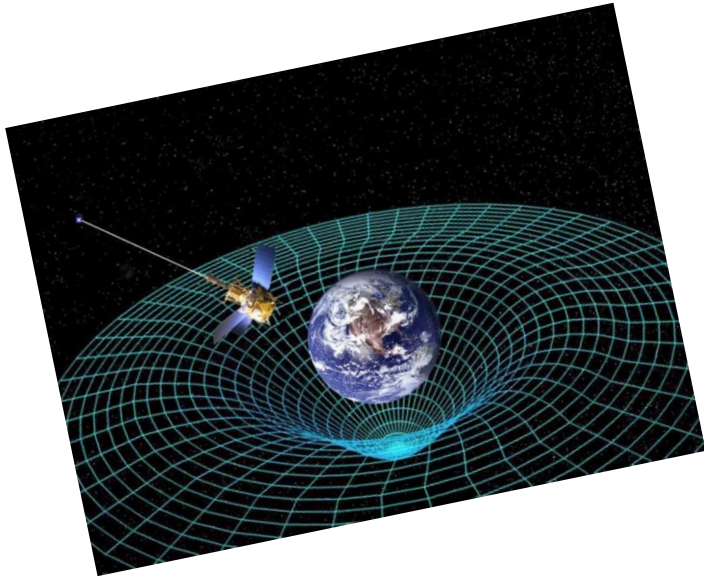
This creates a tree!

The shortest paths are the lengths along this tree.



How do we actually implement this?

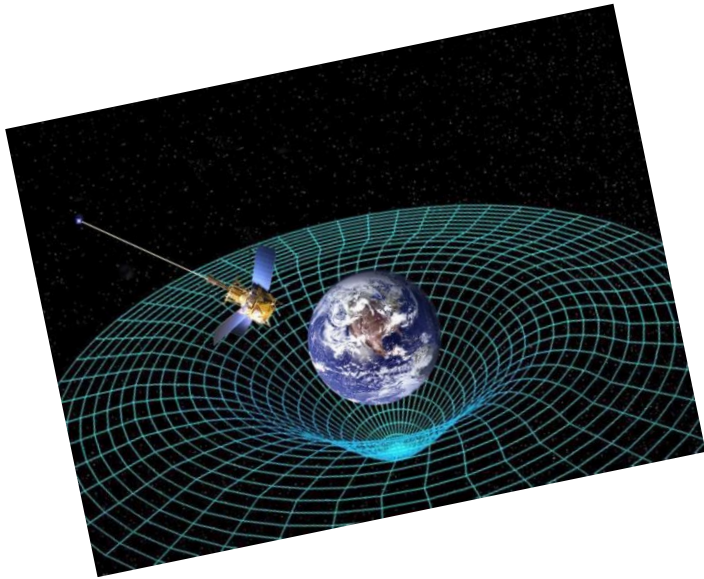
# How do we actually implement this?





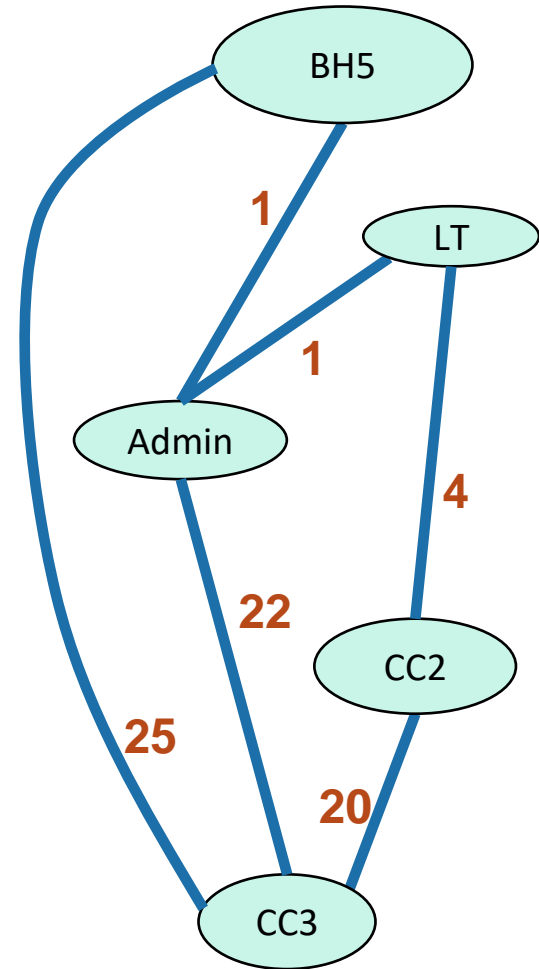
# How do we actually implement this?

- **Without** string and gravity?




# Dijkstra by example

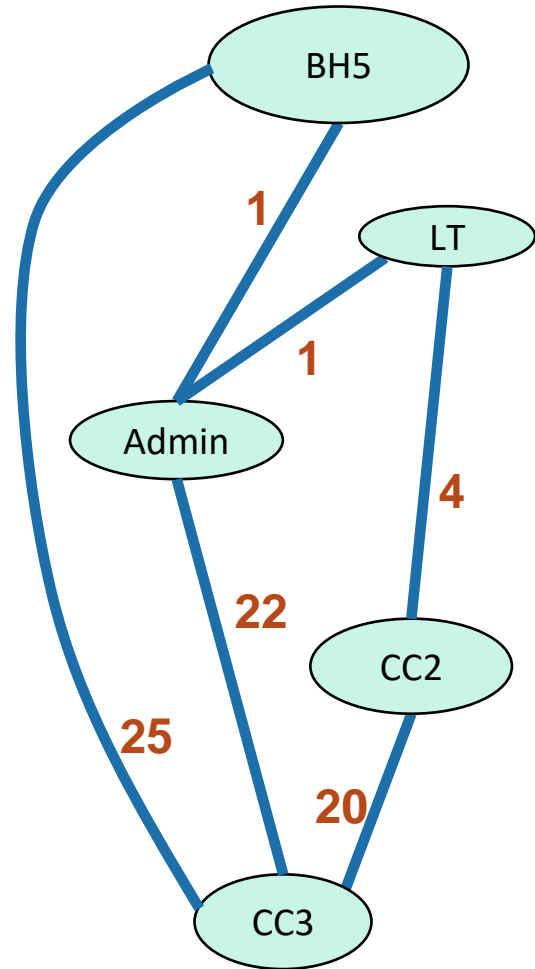
How far is a node from BH5?



# Dijkstra by example



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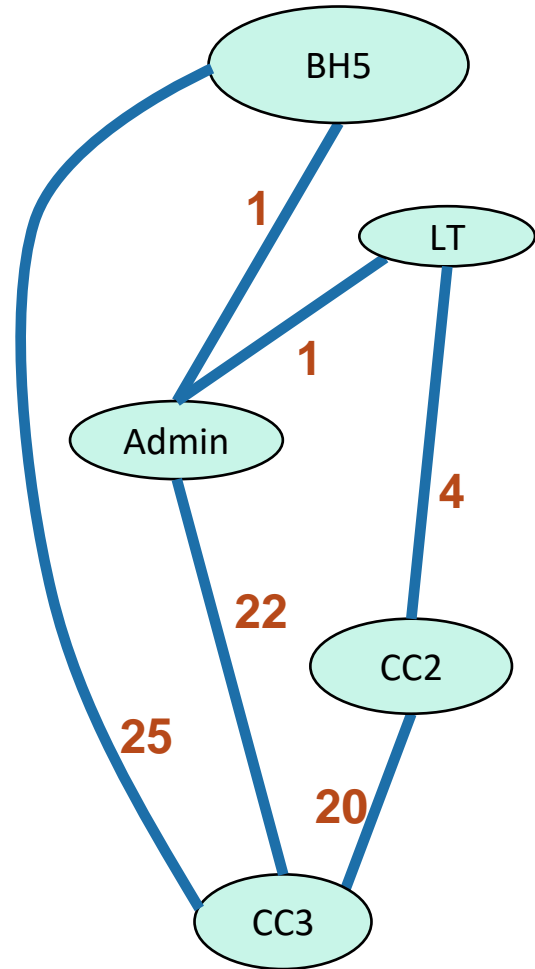
 I'm not sure yet



# Dijkstra by example

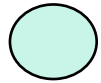
How far is a node from BH5?

-  I'm not sure yet
-  I'm sure



# Dijkstra by example

How far is a node from BH5?



I'm not sure yet

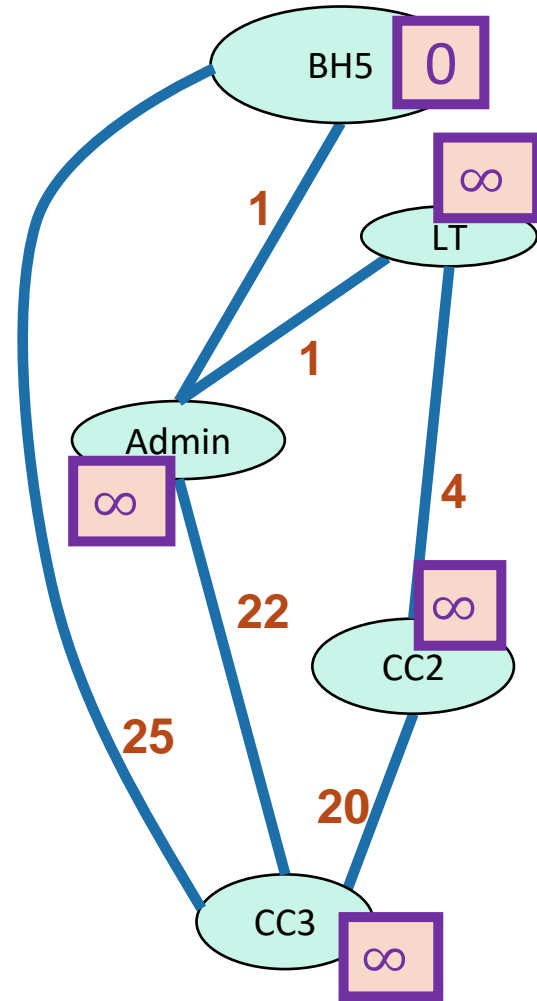


I'm sure



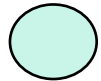
$x = d[v]$  is my best **over-estimate** for  $\text{dist}(\text{BH5}, v)$ .

Initialize  $d[v] = \infty$   
for all non-starting vertices  $v$ ,  
and  $d[\text{BH5}] = 0$



# Dijkstra by example

How far is a node from BH5?



I'm not sure yet



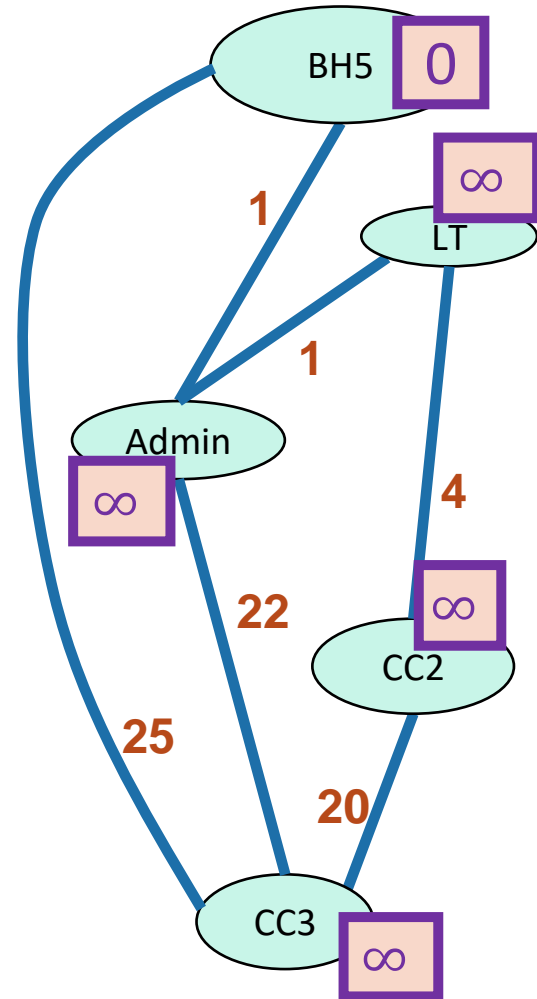
I'm sure



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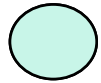
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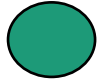


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I'm sure

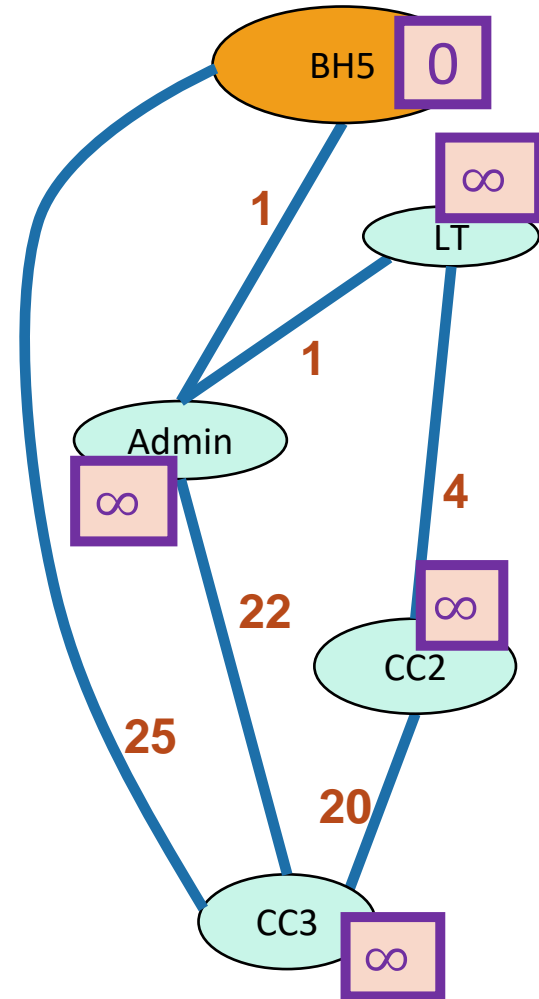


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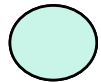
Current node  $u$

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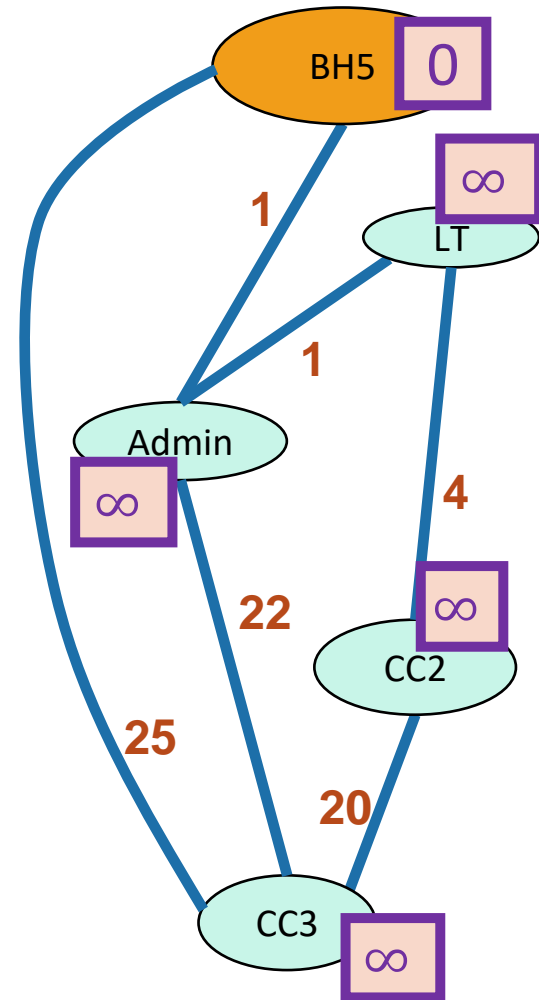


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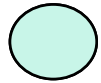
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# Dijkstra by example

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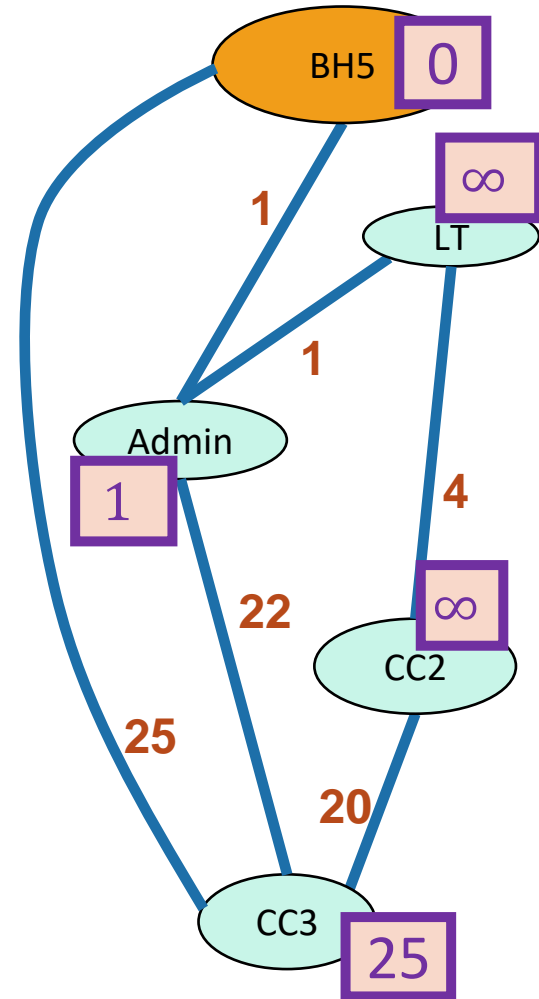


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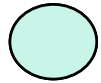
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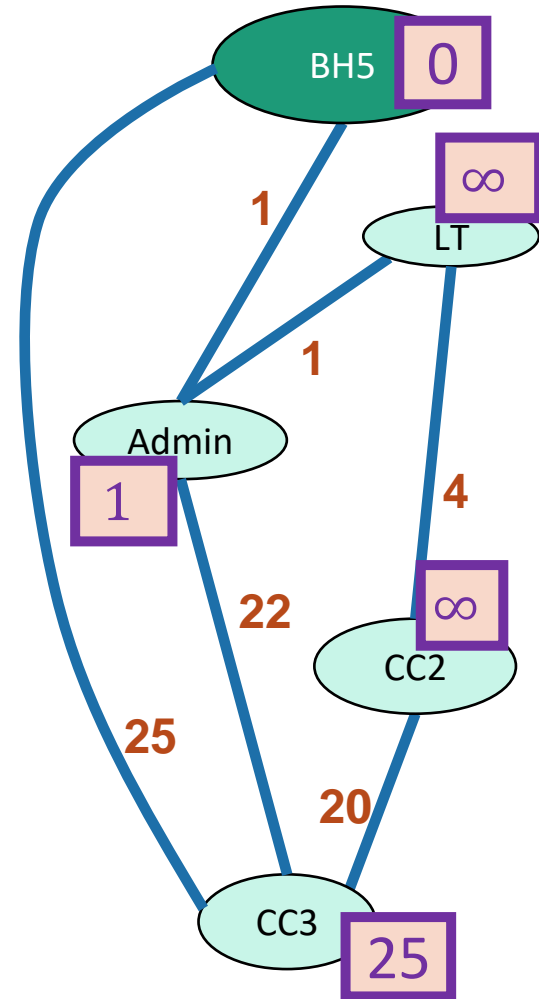


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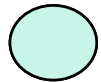
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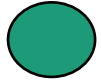


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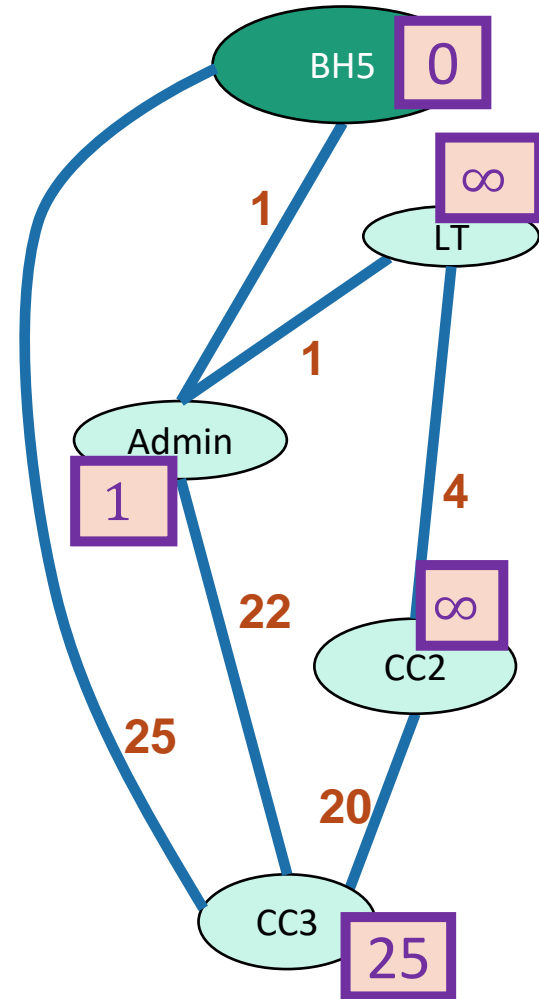


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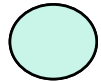
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How far is a node from BH5?



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I'm sure

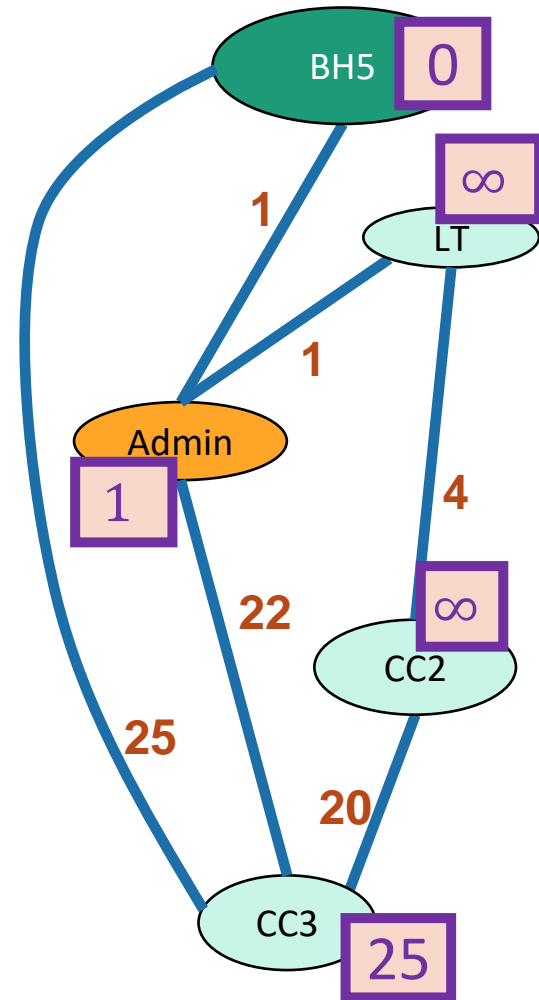


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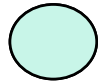
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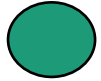


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I'm not sure yet



I'm sure

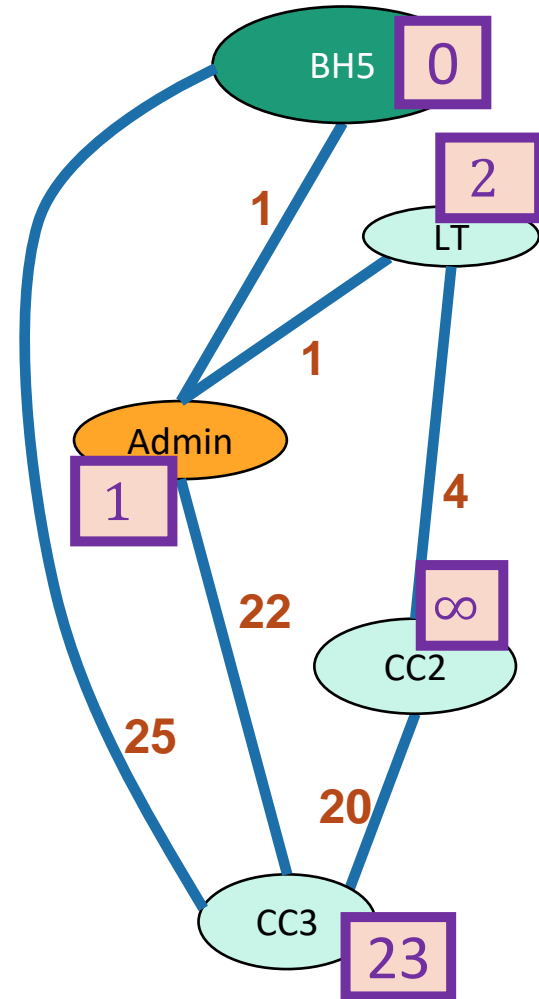


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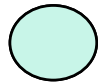
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# Dijkstra by example

How far is a node from BH5?



I'm not sure yet



I'm sure

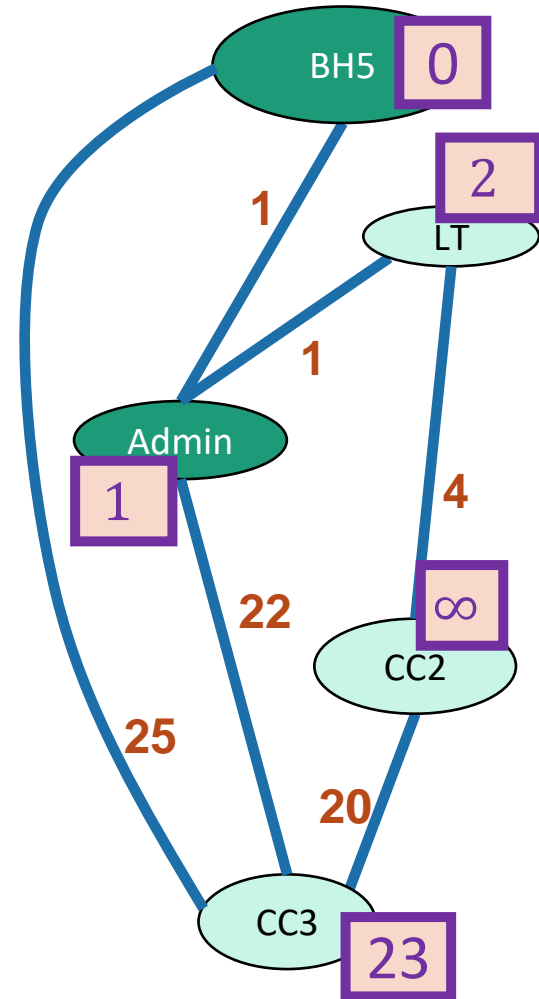


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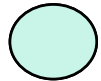
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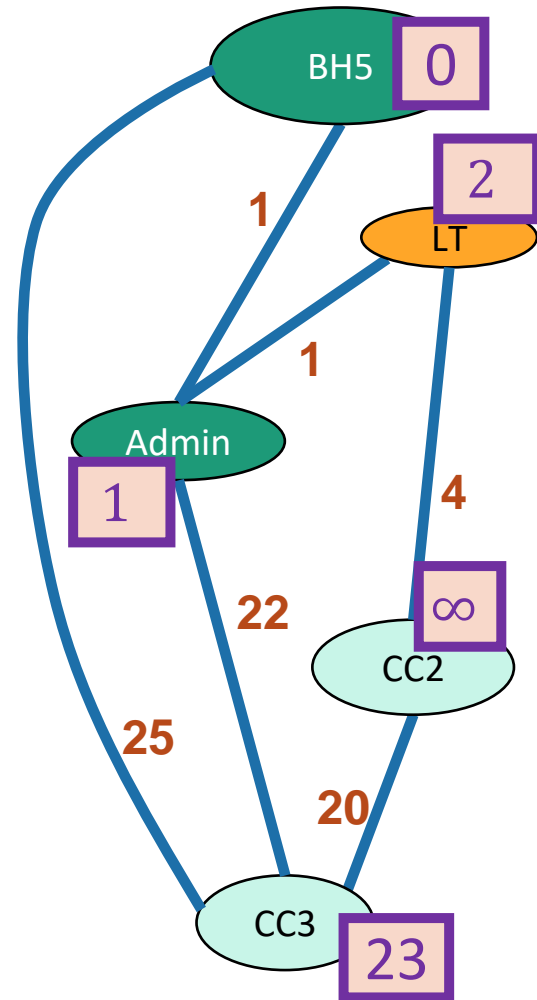


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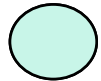
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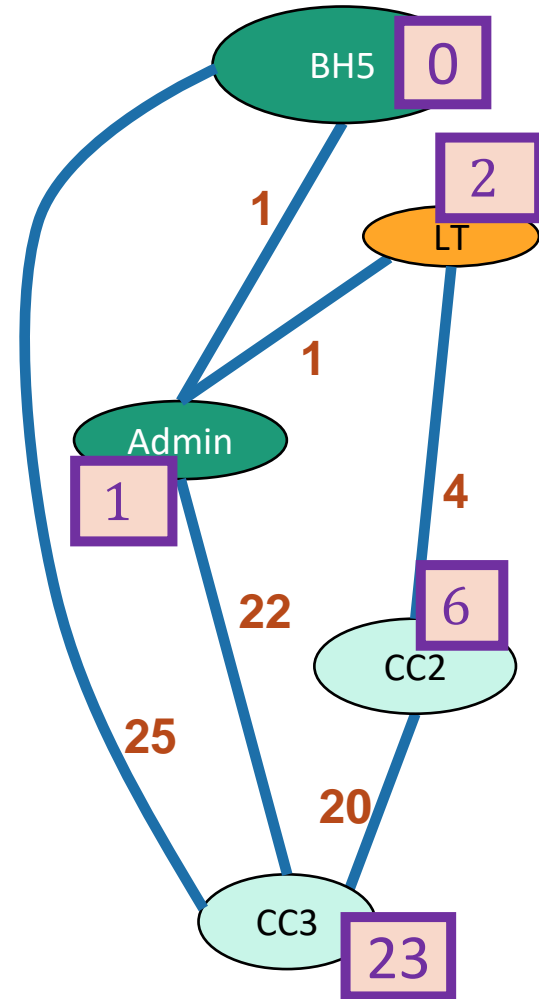


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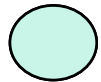
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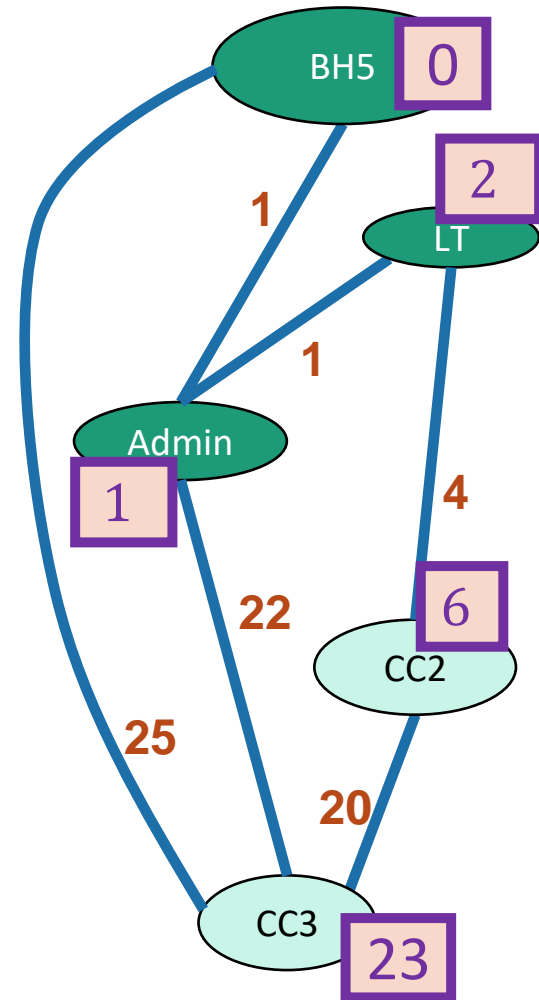


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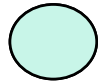
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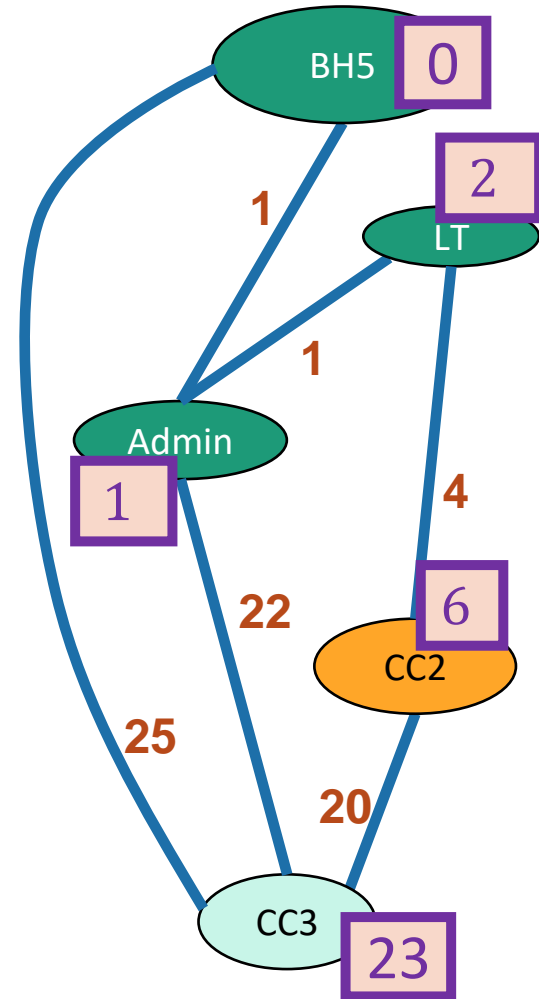


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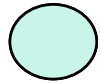
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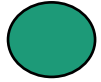


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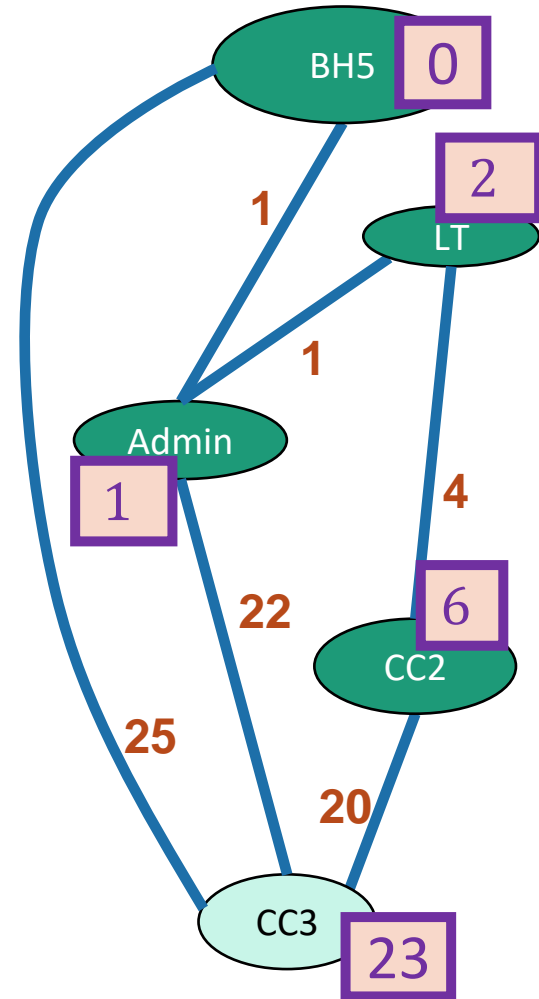


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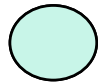
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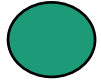


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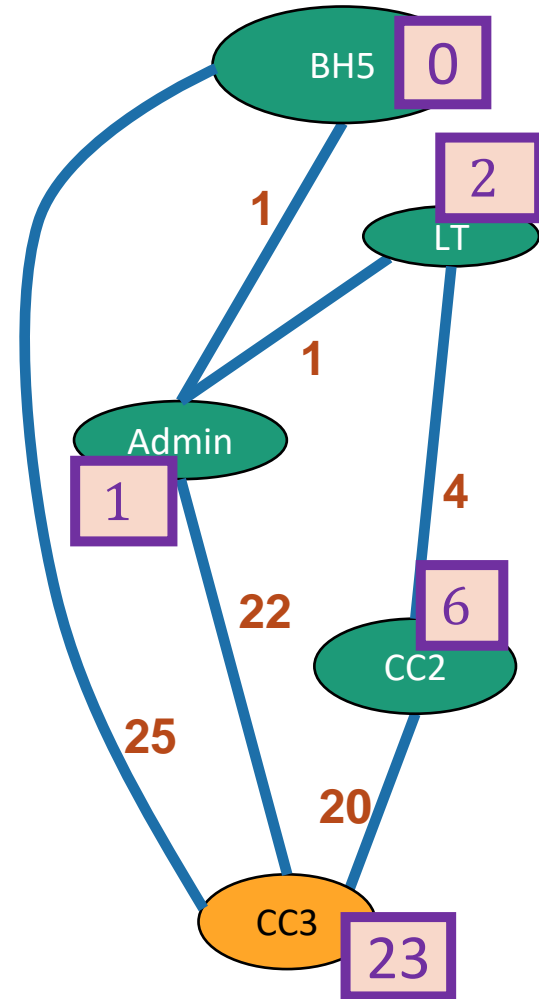


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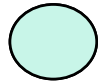
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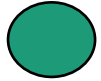


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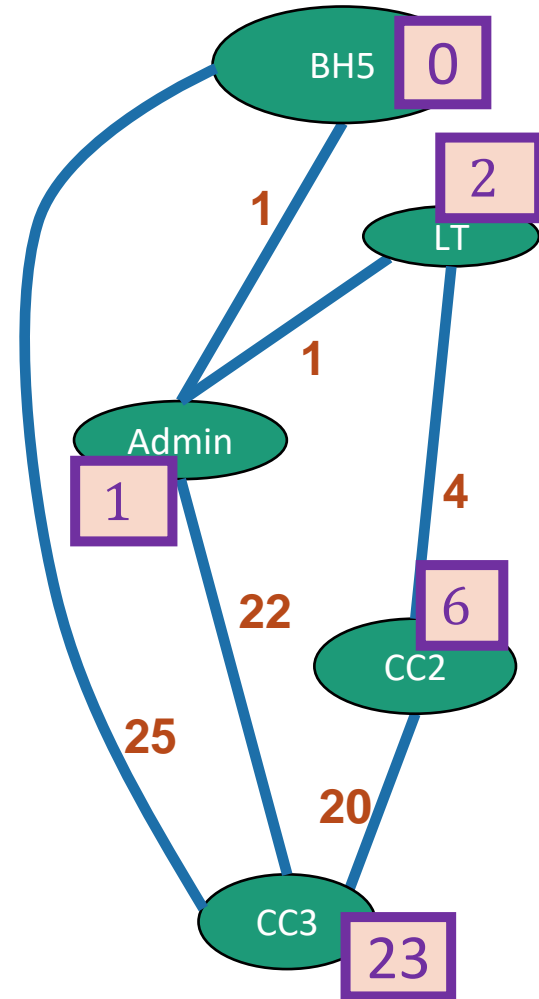


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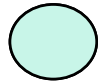
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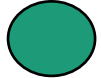


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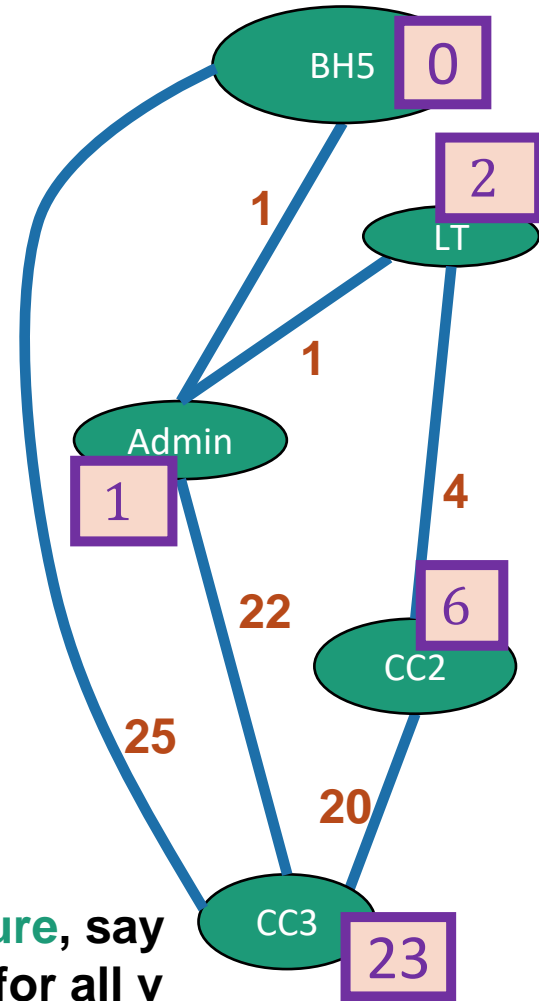


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- Mark  $u$  as **sure**.
- Repeat
- **After all nodes are sure, say that  $d(\text{BH5}, v) = d[v]$  for all  $v$**



# Dijkstra's algorithm

## Dijkstra(G,s):

- Set all vertices to **not-sure**
- $d[v] = \infty$  for all  $v$  in  $V$
- $d[s] = 0$
- **While** there are **not-sure** nodes:
  - Pick the **not-sure** node  $u$  with the smallest estimate  **$d[u]$** .
  - **For**  $v$  in  $u$ .neighbors:
    - $d[v] \leftarrow \min( d[v] , d[u] + \text{edgeWeight}(u,v) )$
    - Mark  $u$  as **sure**.
- Now  $d(s, v) = d[v]$

Lots of implementation details left un-explained.  
We'll get to that!

# As usual

- Does it work?
  
- Is it fast?



# As usual

- Does it work?
  - Yes.
  
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  - Depends on how you implement it.

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# Why does this work?

- **Theorem:**

- Suppose we run Dijkstra on  $G=(V,E)$ , starting from  $s$ .
- At the end of the algorithm, the estimate  $d[v]$  is the actual distance  $d(s,v)$ .

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- **Claims 1 and 2** imply the **theorem**.

- When  $v$  is marked **sure**,  $d[v] = d(s,v)$ .
- $d[v] \geq d(s,v)$  and never increases, so after  $v$  is **sure**,  $d[v]$  stops changing.
- This implies that at any time *after*  $v$  is marked **sure**,  $d[v] = d(s,v)$ .
- All vertices are **sure** at the end, so all vertices end up with  $d[v] = d(s,v)$ .

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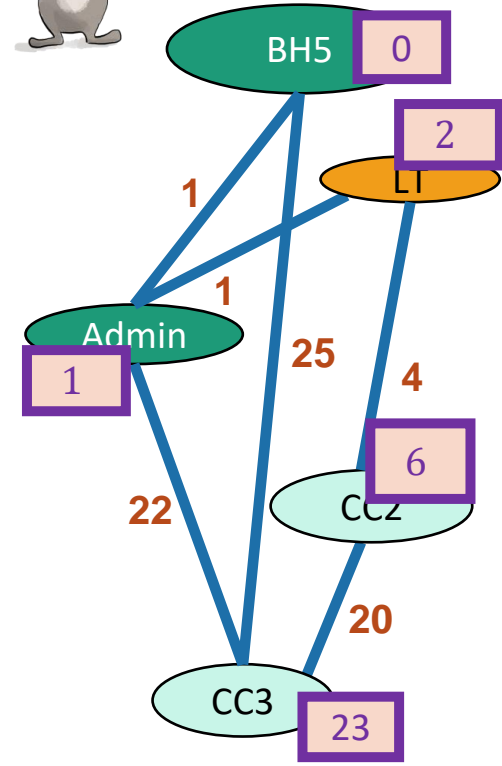
Next let's prove the claims!

# Claim 1

$d[v] \geq d(s,v)$  for all  $v$ .



Intuition!

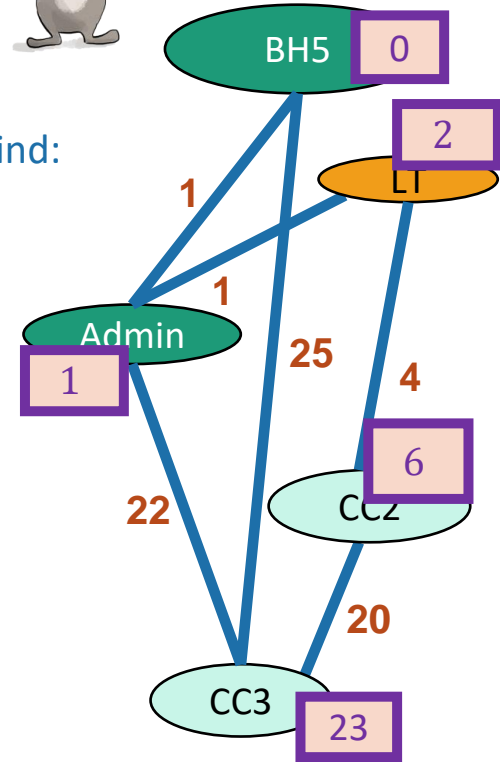


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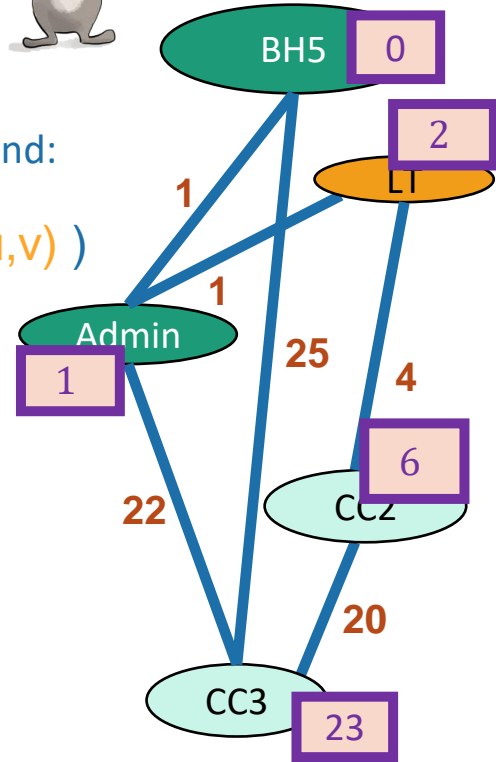
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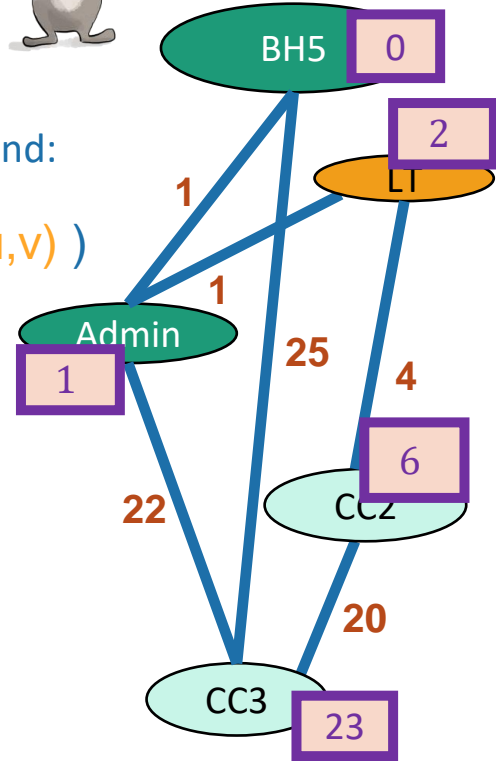
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Whatever path we had  
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The shortest path to  $u$ , and then  
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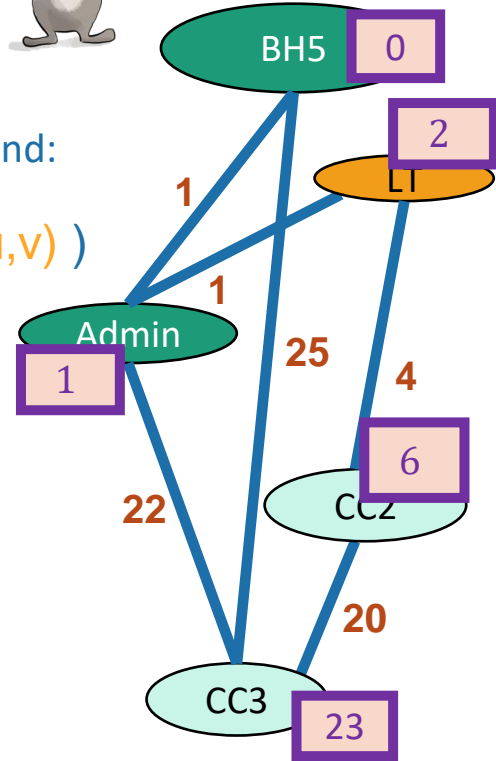
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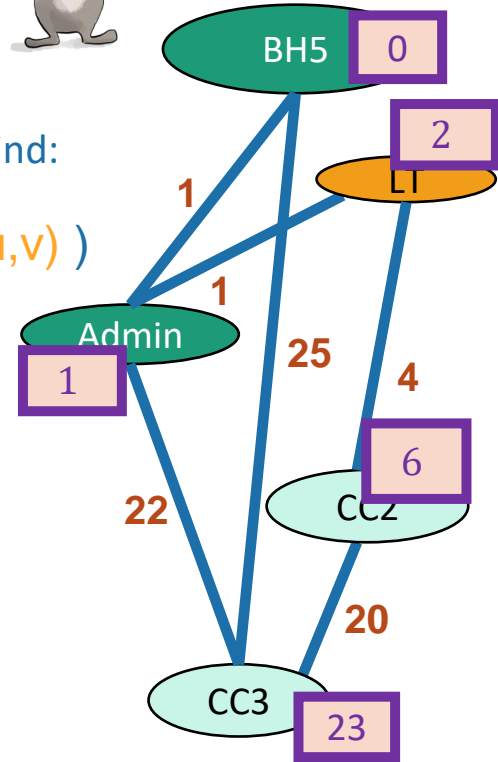
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## Formally:

- We should prove this by induction.



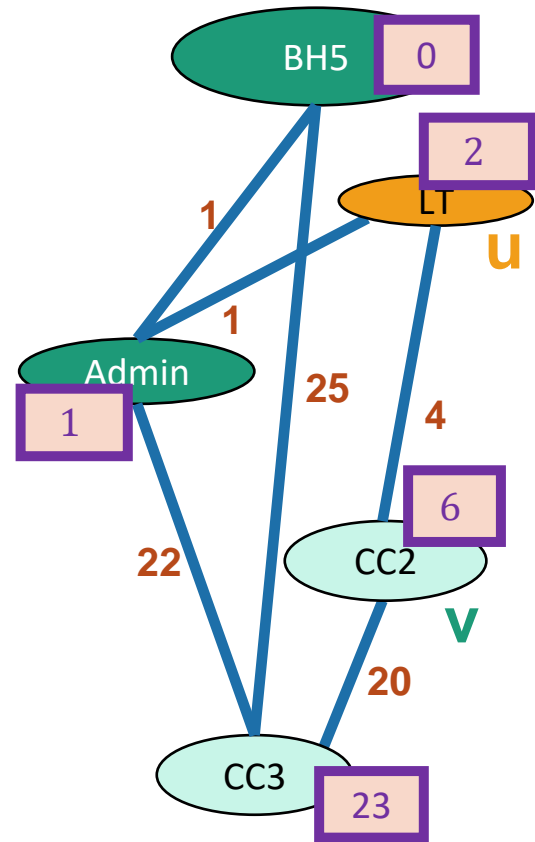
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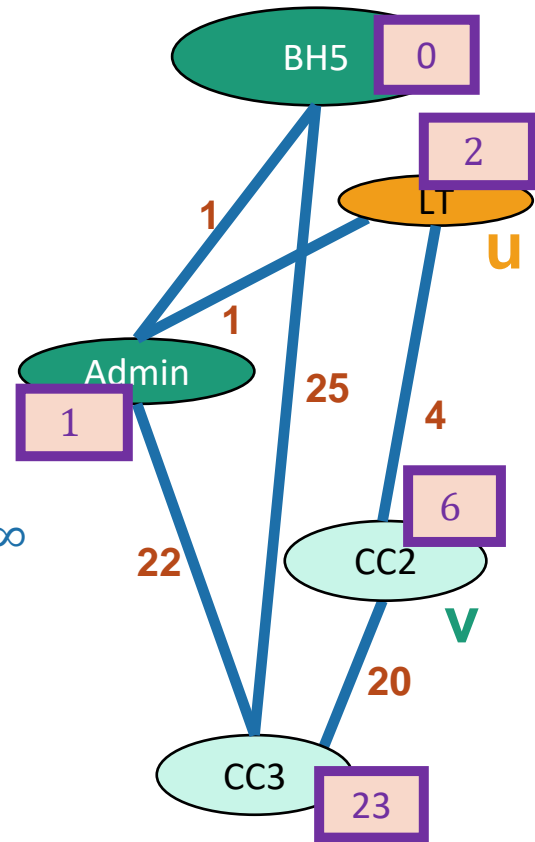
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- Base case:
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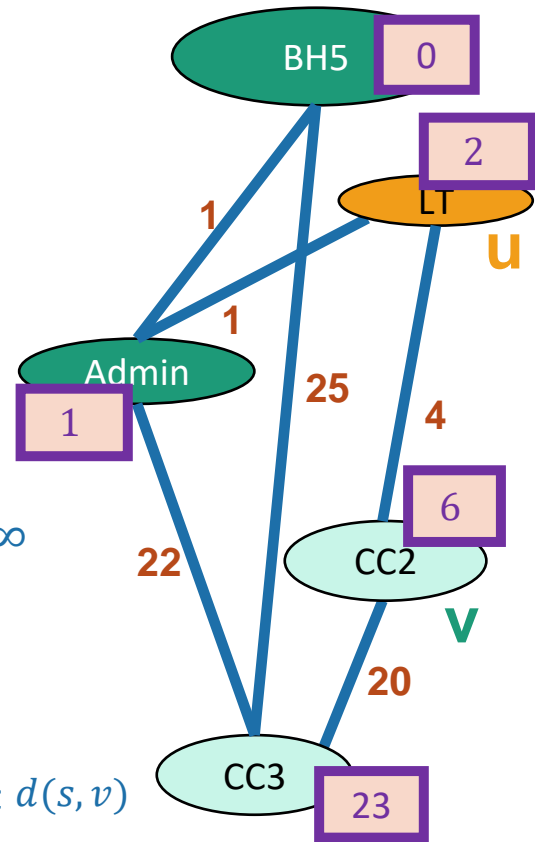
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- Inductive step: say hypothesis holds for  $t$ .
  - At step  $t+1$ :
    - Pick  $u$ ; for each neighbor  $v$ :
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By induction,  
 $d[v] \geq d(s,v)$

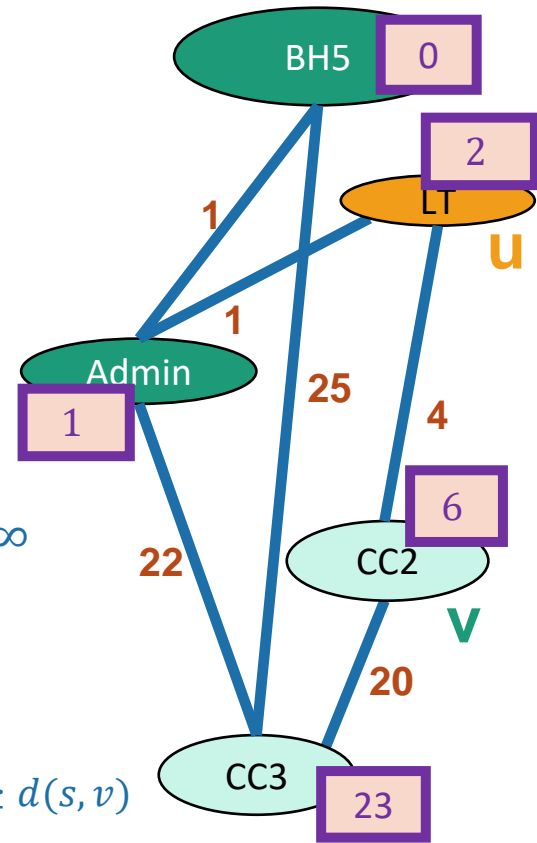
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So the inductive hypothesis holds for  $t+1$ , and Claim 1 follows.

By induction,  $d[v] \geq d(s,v)$

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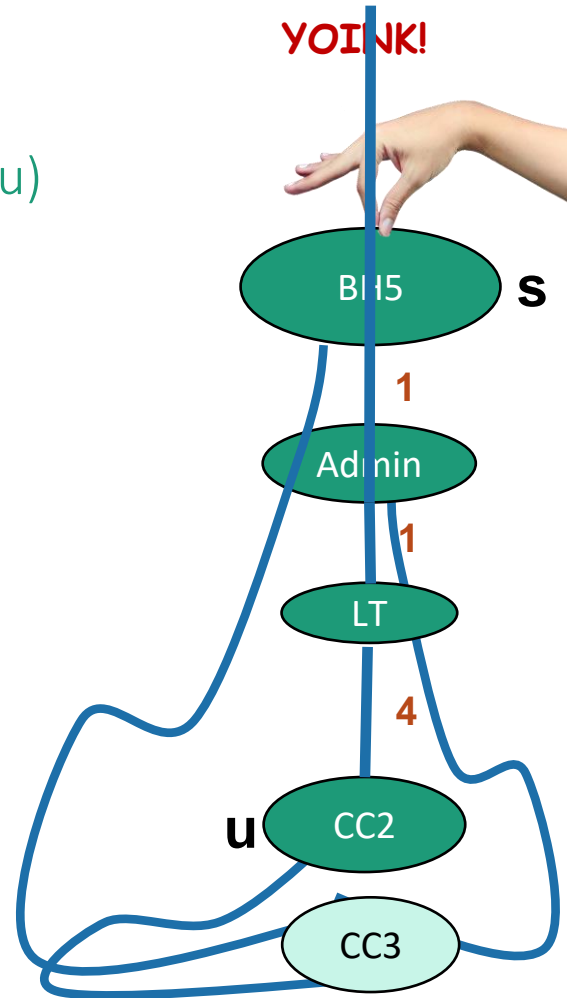
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- Mark  $u$  as **sure**.
- Repeat

- Assume by induction that every  $v$  already marked **sure** has  $d[v] = d(s,v)$ .
- Want to show that  $d[u] = d(s,u)$ .

# Intuition

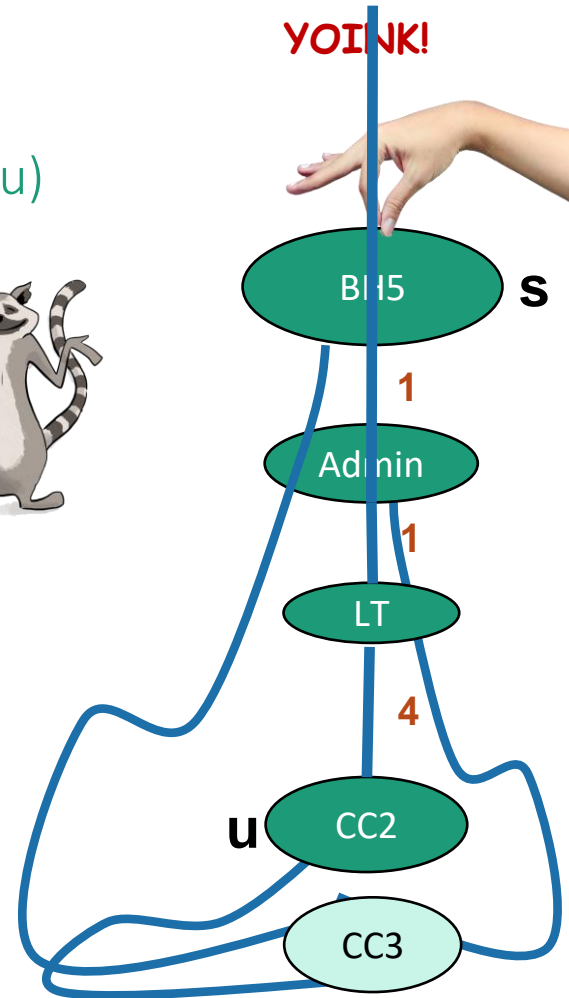
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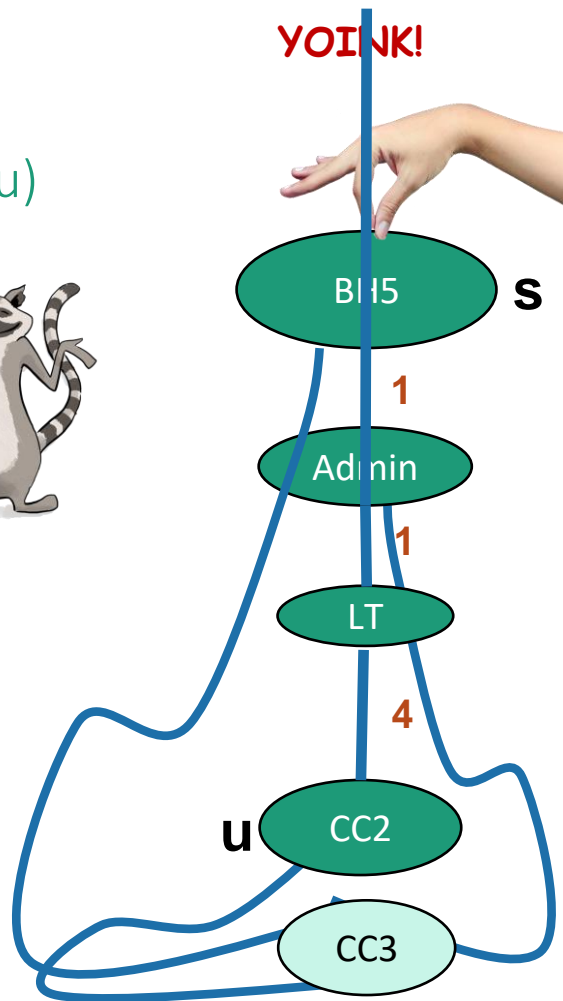
- The first path that lifts  $u$  off the ground is the shortest one.



# Intuition

When a vertex  $u$  is marked sure,  $d[u] = d(s,u)$

- The first path that lifts  $u$  off the ground is the shortest one.
- But we should actually prove it.





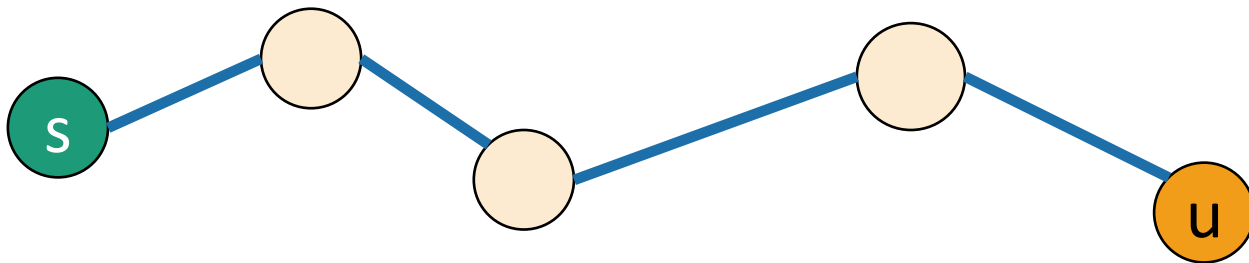
# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$

- Want to show that  $u$  is good.
- Consider a **true** shortest path from  $s$  to  $u$ :



The vertices in between may or may not be **sure**.

True shortest path.

# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



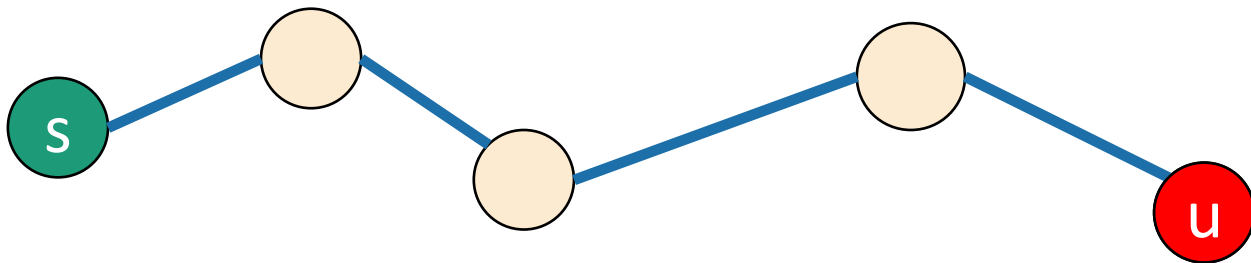
means good



means not good

“by way of contradiction”

- Want to show that  $u$  is good. **BWOC, suppose  $u$  isn't good.**



The vertices in between may or may not be **sure**.

True shortest path.

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Inductive step

Temporary definition:

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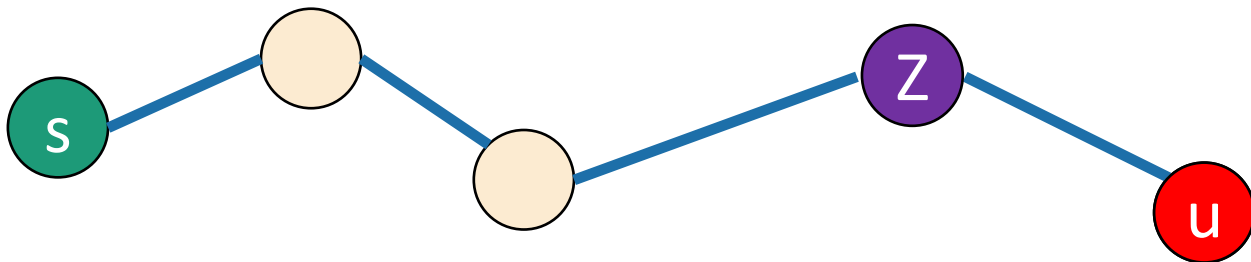
means good



means not good

“by way of contradiction”

- Want to show that  $u$  is good. **BWOC, suppose  $u$  isn't good.**
- Say  $z$  is the good vertex before  $u$ .



The vertices in between may or may not be **sure**.

True shortest path.

# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

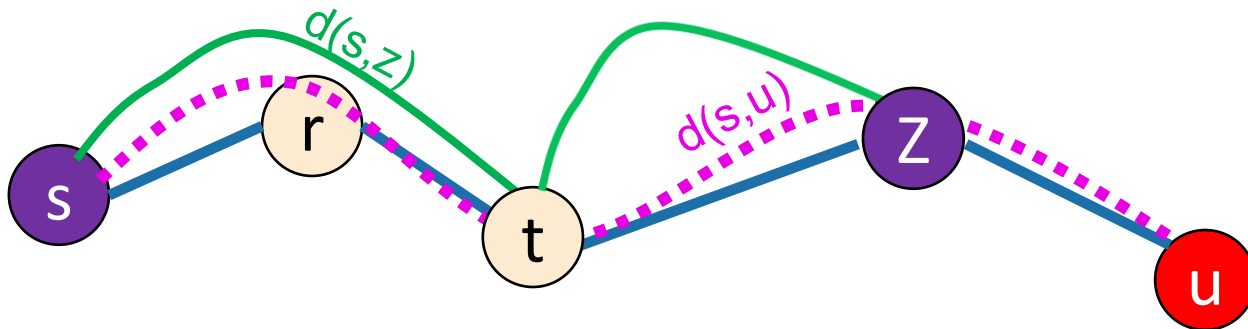
- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

$$d[z] = d(s, z) \leq d(s, u) \leq d[u]$$

$z$  is good

Subpaths of shortest paths are shortest paths.

Claim 1



# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

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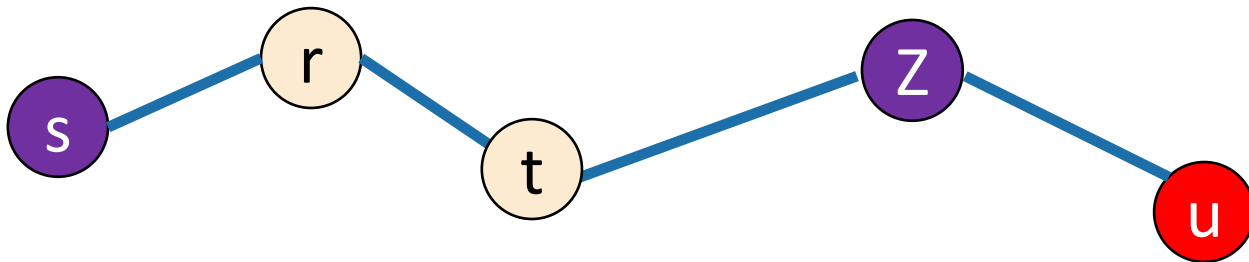
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Subpaths of shortest paths are shortest paths.

Claim 1

- If  $d[z] = d[u]$ , then  $u$  is good.



# Claim 2

Inductive step

Temporary definition:

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means good



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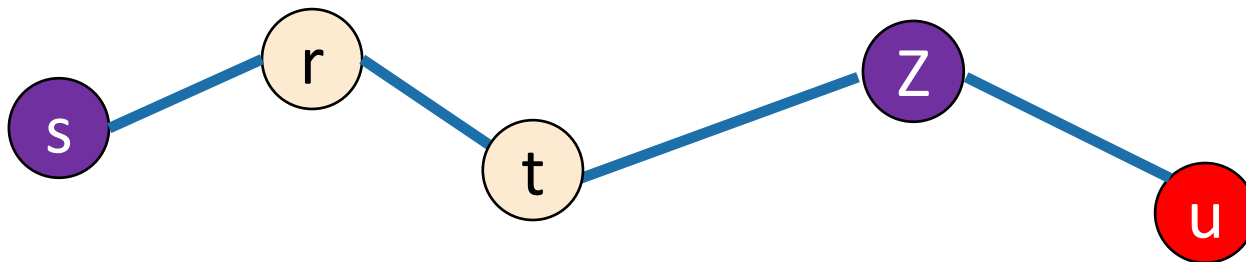
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$z$  is good

Subpaths of shortest paths are shortest paths.

Claim 1

- If  $d[z] = d[u]$ , then  $u$  is good. ⚡ But  $u$  is not good!



# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

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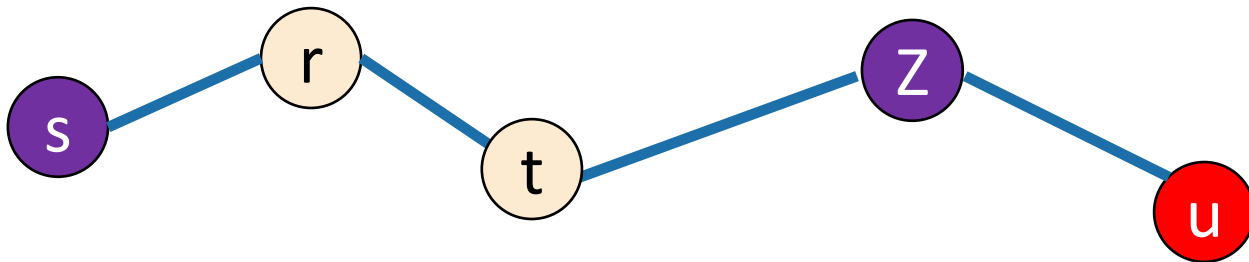
- If  $d[z] = d[u]$ , then  $u$  is good.



But  $u$  is not good!

- So  $d[z] < d[u]$ , so  $z$  is **sure**.

We chose  $u$  so that  $d[u]$  was smallest of the unsure vertices.



# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

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Subpaths of shortest paths are shortest paths.

Claim 1

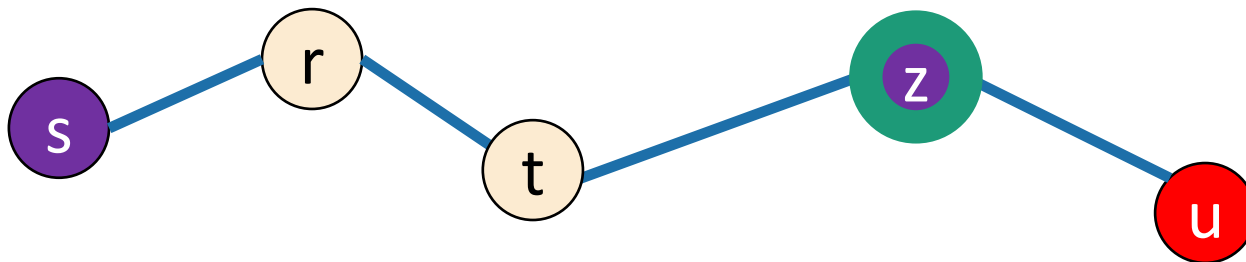
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# Claim 2

Inductive step

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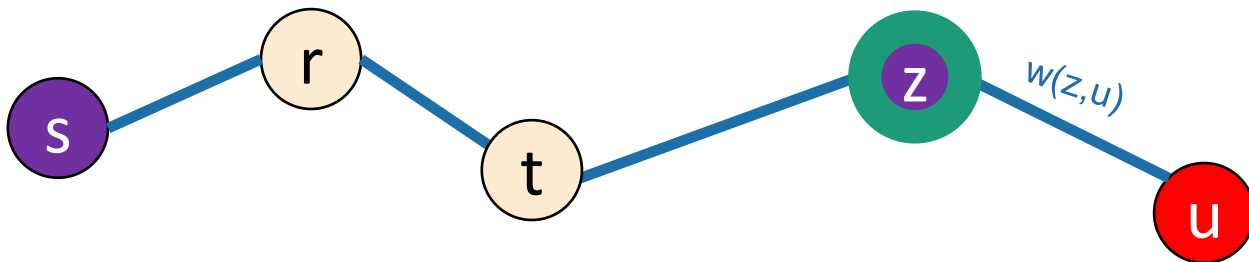


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means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.
- If  $z$  is **sure** then we've already updated  $u$ :  
$$d[u] \leftarrow \min\{d[u], d[z] + w(z,u)\}$$



# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



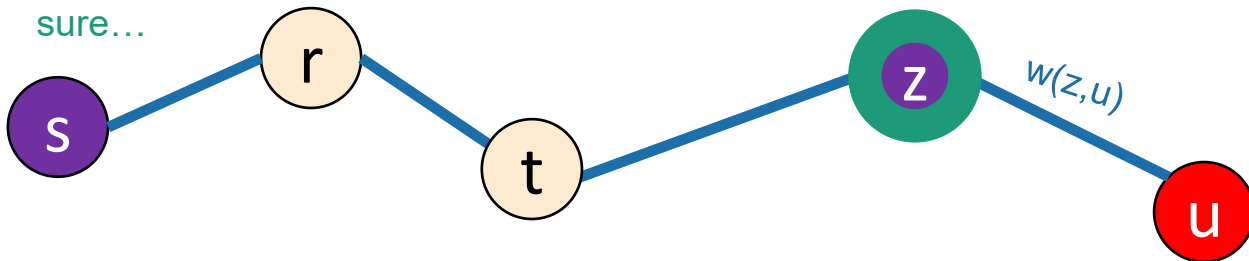
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That is, the value of  $d[z]$  when  $z$  was marked sure...



# Claim 2

Inductive step

Temporary definition:

$v$  is “good” means that  $d[v] = d(s,v)$



means good



means not good

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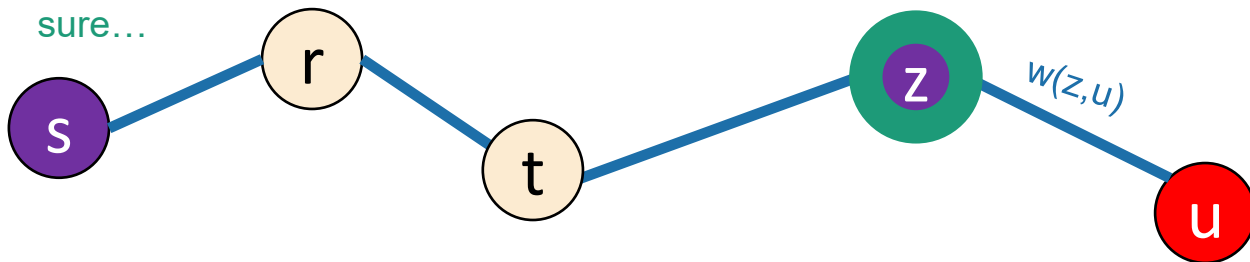
def of update

$$d[u] \leftarrow \min\{d[u], d[z] + w(z, u)\}$$

$$= d(s, z) + w(z, u)$$

By induction when  $z$  was added to the sure list it had  $d(s, z) = d[z]$

That is, the value of  $d[z]$  when  $z$  was marked sure...



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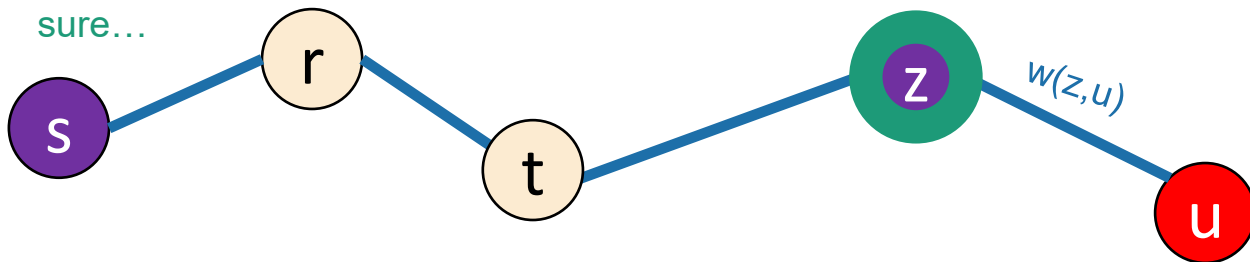
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$= d(s, z) + w(z, u)$  By induction when  $z$  was added to the sure list it had  $d(s, z) = d[z]$

That is, the value of  $d[z]$  when  $z$  was marked sure...

$= d(s, u)$  sub-paths of shortest paths are shortest paths



# Claim 2

Inductive step

Temporary definition:

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means not good

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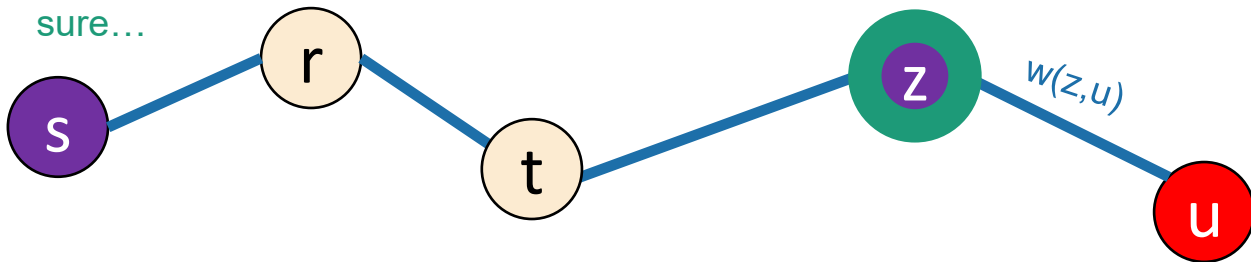
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$\leq d[u]$  Claim 1



# Claim 2

Inductive step

Temporary definition:

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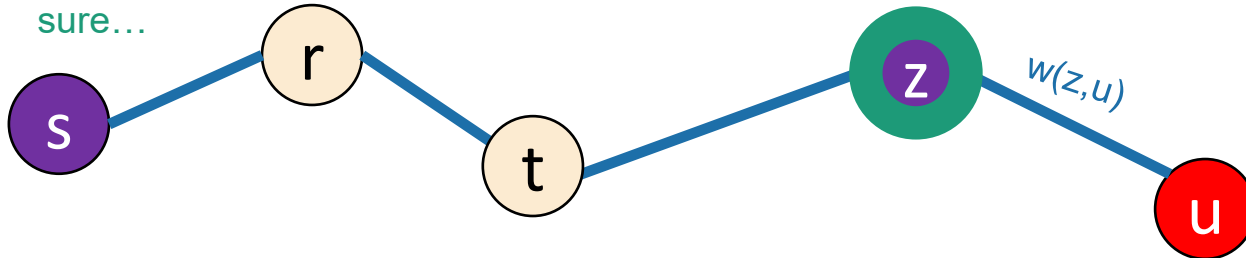
$$= d(s, u)$$

sub-paths of shortest paths are shortest paths

$$\leq d[u]$$

Claim 1

So  $d(s, u) = d[u]$  and so  $u$  is good.



# Claim 2

Inductive step

Temporary definition:

$v$  is "good" means that  $d[v] = d(s,v)$



means good



means not good

- Want to show that  $u$  is good. BWOC, suppose  $u$  isn't good.

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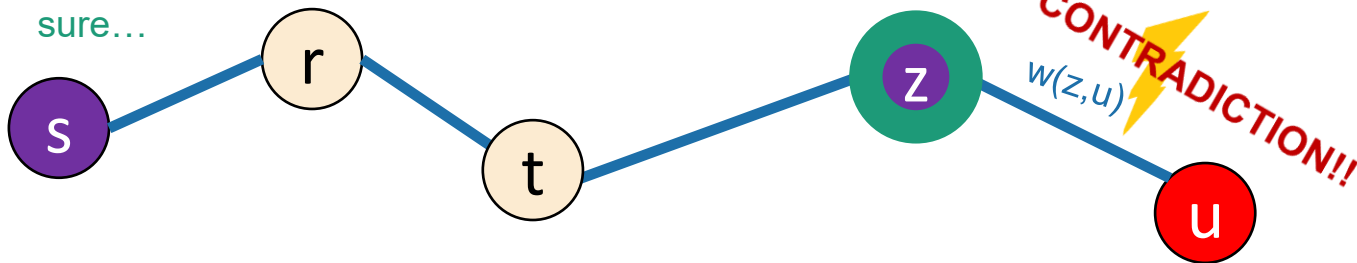
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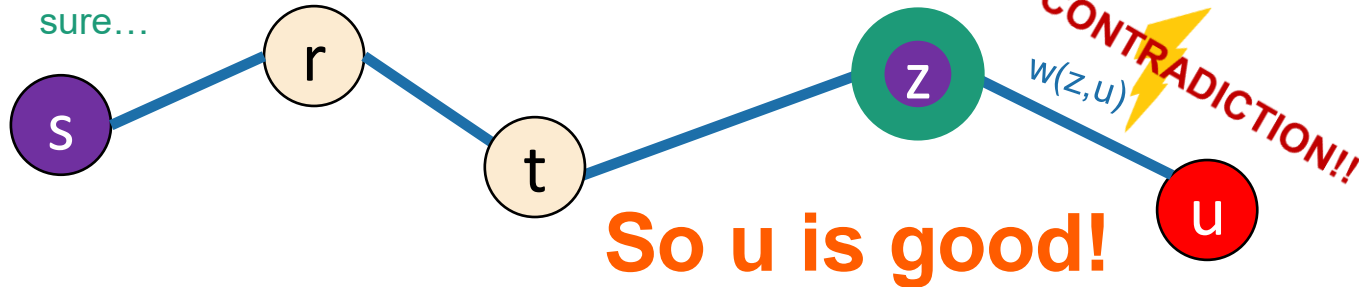
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sub-paths of shortest paths are shortest paths

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Claim 1

So  $d(s, u) = d[u]$  and so  $u$  is good.





Back to this  
slide

## Claim 2

When a vertex  $u$  is marked sure,  $d[u] = d(s,u)$

- **Inductive Hypothesis:**
  - When we mark the  $t^{\text{th}}$  vertex  $v$  as sure,  $d[v] = d(s,v)$ .
- **Base case:**
  - The first vertex marked **sure** is  $s$ , and  $d[s] = d(s,s) = 0$ .
- **Inductive step:**
  - Suppose that we are about to add  $u$  to the **sure** list.
  - That is, we picked  $u$  in the first line here:

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
  - $d[v] \leftarrow \min(d[v], d[u] + \text{edgeWeight}(u,v))$
- Mark  $u$  as **sure**.
- Repeat

- Assume by induction that every  $v$  already marked **sure** has  $d[v] = d(s,v)$ .
- Want to show that  $d[u] = d(s,u)$ .

**Conclusion:** Claim 2 holds!



# Why does this work?

*Now back to  
this slide*

- **Theorem:**

- Run Dijkstra on  $G=(V,E)$  starting from  $s$ .
- At the end of the algorithm, the estimate  $d[v]$  is the actual distance  $d(s,v)$ .

- Proof outline:

- **Claim 1:** For all  $v$ ,  $d[v] \geq d(s,v)$ .
- **Claim 2:** When a vertex is marked **sure**,  $d[v] = d(s,v)$ .

- **Claims 1 and 2** imply the **theorem**.



# As usual

- Does it work?
  - Yes.



- Is it fast?
  - Depends on how you implement it.

# Running time?

## Dijkstra(G,s):

- Set all vertices to **not-sure**
- $d[v] = \infty$  for all  $v$  in  $V$
- $d[s] = 0$
- **While** there are **not-sure** nodes:
  - Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
  - **For**  $v$  in  $u$ .neighbors:
    - $d[v] \leftarrow \min( d[v] , d[u] + \text{edgeWeight}(u,v) )$
  - Mark  $u$  as **sure**.
- Now  $\text{dist}(s, v) = d[v]$

# Running time?

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    - Mark  $u$  as **sure**.
  - Now  $\text{dist}(s, v) = d[v]$
- 
- $n$  iterations (one per vertex)
  - How long does one iteration take?

Depends on how we implement it...

# We need a data structure that:

Just the inner loop:

- Pick the **not-sure** node  $u$  with the smallest estimate  $d[u]$ .
- Update all  $u$ 's neighbors  $v$ :
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# We need a data structure that:

- Stores unsure vertices  $v$

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# We need a data structure that:

- Stores unsure vertices  $v$
- Keeps track of  $d[v]$
- Can find  $u$  with minimum  $d[u]$ 
  - `findMin()`

Just the inner loop:

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- Can remove that  $u$ 
  - `removeMin(u)`

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Total running time is big-oh of:

$$\sum_{u \in V} \left( T(\text{findMin}) + \left( \sum_{v \in u.\text{neighbors}} T(\text{updateKey}) \right) + T(\text{removeMin}) \right)$$

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$$= n( T(\text{findMin}) + T(\text{removeMin}) ) + m T(\text{updateKey})$$

If we use an array

# If we use an array

- $T(\text{findMin}) = O(n)$
- $T(\text{removeMin}) = O(n)$
- $T(\text{updateKey}) = O(1)$

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- Running time of Dijkstra
  - $= O(n(T(\text{findMin}) + T(\text{removeMin}))) + m T(\text{updateKey})$
  - $= O(n^2) + O(m)$
  - $= O(n^2)$



If we use a red-black tree

# If we use a red-black tree

- $T(\text{findMin}) = O(\log(n))$
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  - $= O(n(T(\text{findMin}) + T(\text{removeMin}))) + m T(\text{updateKey})$
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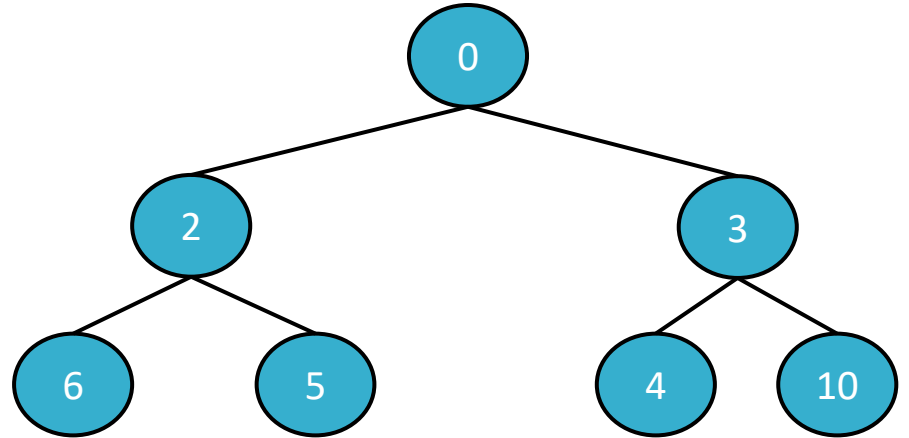
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- Running time of Dijkstra
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  - $= O(n \log(n)) + O(m \log(n))$
  - $= O((n + m) \log(n))$

Better than an array if the graph is sparse!  
aka if  $m$  is much smaller than  $n^2$

# Heaps support these operations

- T(findMin)
- T(removeMin)
- T(updateKey)



- A **heap** is a tree-based data structure that has the property that **every node has a smaller key than its children.**

# Many heap implementations

Nice chart on Wikipedia:

Operation	Binary <sup>[7]</sup>	Leftist	Binomial <sup>[7]</sup>	Fibonacci <sup>[7][8]</sup>	Pairing <sup>[9]</sup>	Brodal <sup>[10][b]</sup>	Rank-pairing <sup>[12]</sup>	Strict Fibonacci <sup>[13]</sup>
find-min	$\Theta(1)$	$\Theta(1)$	$\Theta(\log n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
delete-min	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$	$O(\log n)^{[c]}$	$O(\log n)^{[c]}$	$O(\log n)$	$O(\log n)^{[c]}$	$O(\log n)$
insert	$O(\log n)$	$\Theta(\log n)$	$\Theta(1)^{[c]}$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
decrease-key	$\Theta(\log n)$	$\Theta(n)$	$\Theta(\log n)$	$\Theta(1)^{[c]}$	$\alpha(\log n)^{[c][d]}$	$\Theta(1)$	$\Theta(1)^{[c]}$	$\Theta(1)$
merge	$\Theta(n)$	$\Theta(\log n)$	$O(\log n)^{[e]}$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$

Say we use a **Fibonacci Heap**

# Say we use a **Fibonacci Heap**

- $T(\text{findMin}) = O(1)$
- $T(\text{removeMin}) = O(\log(n))$
- $T(\text{updateKey}) = O(1)$



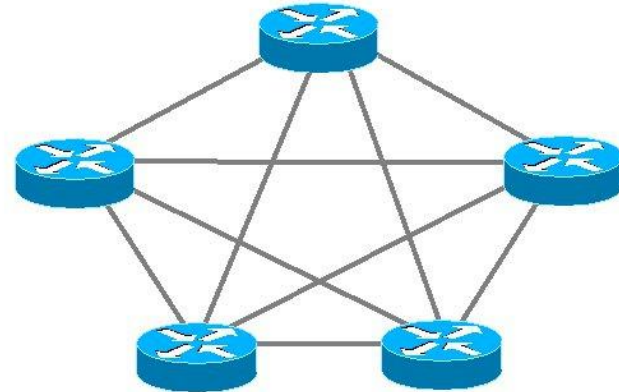
# Say we use a Fibonacci Heap

- $T(\text{findMin}) = O(1)$
- $T(\text{removeMin}) = O(\log(n))$
- $T(\text{updateKey}) = O(1)$
  
- Running time of Dijkstra
  - $= O(n(T(\text{findMin}) + T(\text{removeMin}))) + m T(\text{updateKey})$
  - $= O(n \log(n) + m)$

# Dijkstra is used in practice

- eg, **OSPF (Open Shortest Path First)**, a routing protocol for IP networks, uses Dijkstra.

But there are some things it's not so good at.



# Dijkstra Drawbacks

- Needs **non-negative edge weights**.
- If the weights change, we need to re-run the whole thing.
  - in OSPF, a vertex broadcasts any changes to the network, and then every vertex re-runs Dijkstra's algorithm from scratch.

# Summary

- **BFS:**
  - (+)  $O(n+m)$
  - (-) only unweighted graphs
  
- **Dijkstra's algorithm:**
  - (+) weighted graphs
  - (+)  $O(n \log(n) + m)$  if you implement it right.
  - (-) no negative edge weights
  - (-) very “centralized” (need to keep track of all the vertices to know which to update).

# Acknowledgement

- [Stanford University](#)

Thank You