

## Introduction

- Local descriptor such as Local Binary Pattern (LBP) [1] is accepted as a very prominent feature descriptor.
- The performance of such descriptors depends upon the local information of the image. The local information of the image can be enhanced using some preprocessing.
- The preprocessed images in the form of 4 sub-bands (i.e. S, U, V, and D sub-bands) are obtained by applying the Singular Value Decomposition (SVD) over the original image.
- The descriptors are computed over these sub-bands (mainly S sub-band) and termed as the SVD based descriptors.
- Four local descriptors over SVD sub-bands are tested for retrieval over PolyU-NIR [2] and CASIA-NIR [3] face databases
- The experimental results confirm the superiority of using S sub-band of SVD over NIR face databases.

## Proposed SVD Sub-band Based NIR Face Retrieval

- The proposed framework of Near-Infrared (NIR) face retrieval is illustrated in Fig. 1. The main components are Singular Value Decomposition (SVD) sub-band formation, local descriptor extraction, and NIR face retrieval.
- A concept of SVD sub-band decomposition and multi-resolution representation [4] is used.
- Let  $I^{i,j}$  is the intensity value of pixel at  $i^{th}$  row and  $j^{th}$  column of NIR face image  $M$  having dimension  $m_x \times m_y$ .
- Let  $P_L$  is the input image of dimension  $m_x^L \times m_y^L$  for the  $L^{th}$  level of SVD factorization.
- The input image  $P_L$  is divided into  $2 \times 2$  non-overlapping blocks and SVD is applied over each block. Thus, a total  $n^L = n_x^L \times n_y^L$  SVD is required, where  $n_x^L = \lfloor m_x^L / 2 \rfloor$  and  $n_y^L = \lfloor m_y^L / 2 \rfloor$ .
- Let  $P_{L,t_x,t_y}$  represents the  $(t_x, t_y)^{th}$  block of image  $P_L$ , where  $t_x \in [1, n_x^L]$  and  $t_y \in [1, n_y^L]$  and given as follows,

$$P_{L,t_x,t_y} = (A_{L,t_x,t_y})(B_{L,t_x,t_y})(C_{L,t_x,t_y})^T \quad (1)$$

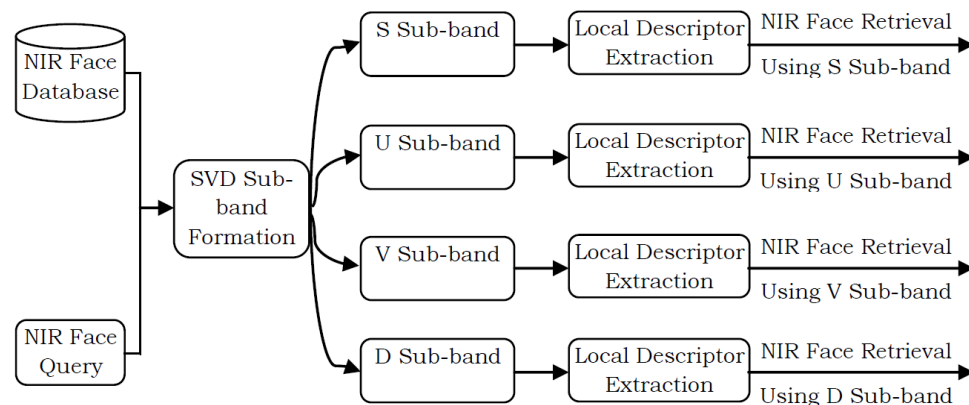


Fig. 1. Proposed framework for NIR face retrieval using SVD Sub-bands.

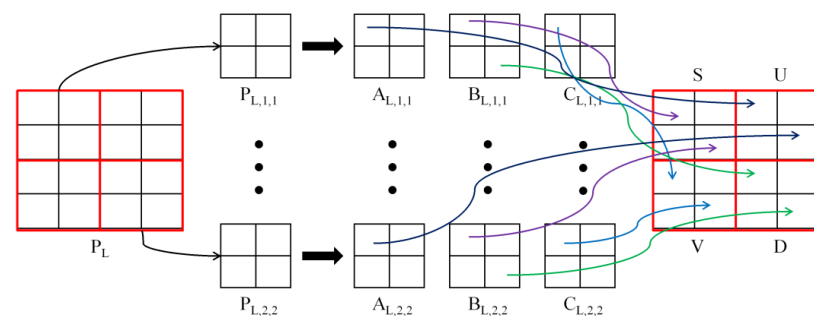


Fig.2. Illustration of S, U, V and D sub-band formation by the SVD factorization of any input  $P_L$  using an example of size  $4 \times 4$ .

where  $A_{L,t_x,t_y}$  and  $C_{L,t_x,t_y}$  are  $2 \times 2$  matrices containing the orthogonal column vectors, and  $B_{L,t_x,t_y}$  is a  $2 \times 2$  diagonal matrix having singular values at the main diagonals.  $P_{L,t_x,t_y}$ ,  $A_{L,t_x,t_y}$ ,  $B_{L,t_x,t_y}$ , and  $C_{L,t_x,t_y}$  are given in the following format,

$$Z_{L,t_x,t_y} = \begin{bmatrix} Z_L^{2t_x-1,2t_y-1} & Z_L^{2t_x-1,2t_y} \\ Z_L^{2t_x,2t_y-1} & Z_L^{2t_x,2t_y} \end{bmatrix} \quad (2)$$

where  $Z$  represents  $P$ ,  $A$ ,  $B$ , and  $C$ , and  $B_L^{2t_x-1,2t_y} = B_L^{2t_x,2t_y-1} = 0$ .

- The four sub-bands at  $L^{th}$  level, namely  $S_L$ ,  $U_L$ ,  $V_L$  and  $D_L$  are formed from Eq. (3) as,  $S_L^{t_x,t_y} = B_L^{2t_x-1,2t_y-1}$ ,  $U_L^{t_x,t_y} = A_L^{2t_x-1,2t_y-1}$ ,  $V_L^{t_x,t_y} = C_L^{2t_x-1,2t_y-1}$ , and  $D_L^{t_x,t_y} = B_L^{2t_x,2t_y}$ .
- The input image  $P_L$  for SVD at  $L^{th}$  level is defined recursively in terms of the original image ( $I$ ) and S sub-band ( $S_{L-1}^{t_x,t_y}$ ) at  $(L-1)^{th}$  level as follows:

$$P_L = \begin{cases} I & \text{if } L = 1 \\ S_{L-1}^{t_x,t_y} & \text{Else} \end{cases} \quad (3)$$

- For multi-resolution sub-bands the S sub-band obtained in the previous level is used as the input image.

## Experiments and results

- The PolyU-NIR [2] and CASIA-NIR [3] databases are used for the face retrieval experiments. The PolyU-NIR face database consists of the total 7277 images from 55 subjects. The CASIA-NIR face database is comprised of the total 3940 images from the 197 subjects having 20 faces each.
- Four descriptors namely Local Binary Pattern (LBP) [1], Directional Binary Code (DBC) [5] and Local Gabor Binary Pattern (LGBP) [6] are computed over SVD sub-bands.
- The values of  $N$  and  $R$  are set to 8 and 1 for all descriptors.
- The SVD sub-bands at level 1 are used.
- The Chi-square ( $\chi^2$ ) distance is used as similarity measure.
- Fig. 3 shows the average retrieval precision (ARP) plots over PolyU-NIR face database and Fig. 4 depicts the average retrieval rate (ARR) over CASIA-NIR face database.

- The top 10 retrieved images using different descriptors over CASIA-NIR database is displayed in Fig. 5.

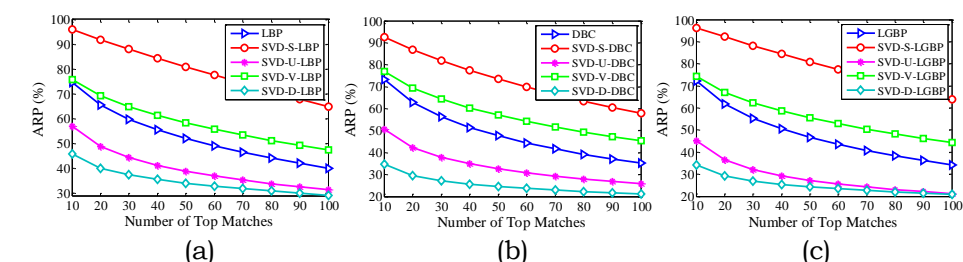


Fig.3. The results in terms of ARP (%) using (a) LBP, (b) DBC, and (c) LGBP using different SVD sub-bands over PolyU-NIR face database.

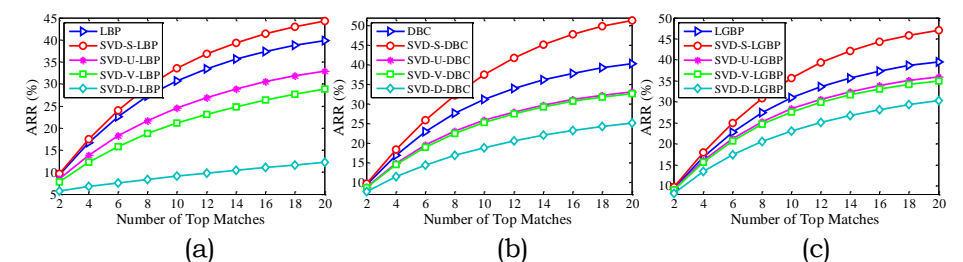


Fig.4. The results in terms of ARR (%) using (a) LBP, (b) DBC, and (c) LGBP using different SVD sub-bands over CASIA-NIR face database.

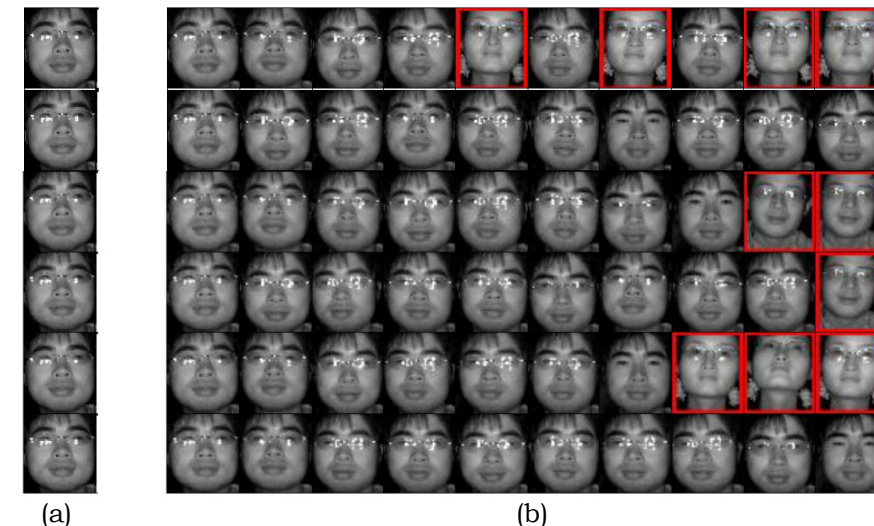


Fig.5. Retrieval results from CASIA-NIR face database using LBP (1<sup>st</sup> row), SVD-S-LBP (2<sup>nd</sup> row), DBC (3<sup>rd</sup> row), SVD-S-DBC (4<sup>th</sup> row), LGBP (5<sup>th</sup> row), and SVD-S-LGBP (6<sup>th</sup> row), (a) query face, and (b) top 10 retrieved faces. Faces in red rectangles are the false positives.

## References

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